



## SELECTION OF CONSULTANTS REQUEST FOR PROPOSAL

RFP No.: NTPCO-CS -16-2080/81

Feasibility study of land pooling with approval of the block plan for planned integrated settlement development in Guthichour rural municipality in Jumla District

New Town Project Co-ordination Office (NTPCO)
Babarmahal, Kathmandu

**Financing Agency: Government Budget** 

Issued on: 22<sup>nd</sup> Falgun 2080

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#### PART I

#### Section 1. Letter of Invitation

Contract ID: (NTPCO-CS -16-2080/81)

Dear Mr./Ms.:

- 1. Government of Nepal (GoN) has allocated fund toward the cost of **development of 12 new Towns along Mid-Hill Highway** and intends to apply a portion of this fund to eligible payments under this Contract for which this Request for Proposals is issued.
- The Client (DUDBC) now invites proposals to provide the following consulting services (hereinafter called "Services"): Feasibility study of land pooling with approval of the block plan for planned integrated settlement development in Guthichour rural municipality in Jumla District (NTPCO-CS-16-2080/81). More details on the Services are provided in the Terms of Reference (Section 7).
- 3. This Request for Proposals (RFP) has been addressed to the following shortlisted Consultants:

| S.no. | Name of Consultant                 |
|-------|------------------------------------|
| 1     | GRID-NEST-MAITRI JV                |
| 2     | GLOBAL-NEW PLANET- GREEN PLANET JV |
| 3     | RAAS-NREC-TC JV                    |
| 4     | GEOCOM-PARAGON-RETRO JV            |
| 5     | PICASSO-NAC-MALLA JV               |
| 6     | NDC-Civil Oasis-SAND JV            |
| 7     | CEMECA-REMEDY-UNIVERSAL JV         |
| 8     | ECoCoDE Nepal-SITARA-MILESTONE JV  |
| 9     | DIGICON-EXECUTIVE-MME JV           |

- 4. It is not permissible to transfer this invitation to any other firm, such as Consultant's parent companies, subsidiaries and affiliates. The Client will reject a Proposal if the Consultant drops a JV partner without the Client's prior consent, which is given only in exceptional circumstances, such as blacklisting of the JV partner or occurrence of Force Majeure.
- 5. A firm will be selected under QCBS selection method and procedures described in this RFP.
- 6. The RFP includes the following documents:

Section 1 - Letter of Invitation

Section 2 - Instructions to Consultants and Data Sheet



Section 3 - Technical Proposal - Standard Forms

Section 4 - Financial Proposal - Standard Forms

Section 5 – Eligible Countries

Section 6 – GoN/DP's Policy – Corrupt and Fraudulent Practices

Section 7 - Terms of Reference

Section 8 - Standard Forms of Contract

- 7. Please inform us by 2080/11/30, by E-mail: newtownp1@gmail.com
  - (a) that you received the letter of invitation; and
  - (b) Whether you will submit a proposal alone or in association with other firm(s) (if permissible under Section 2, Instructions to Consultants (ITC), Data Sheet 14.1.1).
- 8. Details on the proposal's submission date, time and address are provided in Clauses 17.8 of the ITC.
- 9. Also, If the consultant (single or JV) has been shortlisted in more than one consulting service in same notice, the consultant shall not repeat the same key experts in more than one proposal.

Yours sincerely, Project Director, New Town Project Co-ordination Ofiice Babarmahal, Kathmandu



## Special Instructions to Consultant (Read carefully)

Technical Proposal will be evaluated on the basis of information duly provided by the Consultant. Information must be supported by relevant evidences such as Certificates, official letters, bills, vouchers and necessary commitments wherever applicable.

The consultant should duly sign and stamp all the documents submitted. Both the consulting firm & proposed key experts should submit recently & jointly signed CVs with date.

Along with the Curriculum Vitae, the copies of certificates of degrees, training certificates attained must be submitted as supporting documents. For engineers and architects, the Nepal engineering council registration certificate should also be submitted.

In case of experience certificate issued from non-government or private organization if showed, it is advised to submit TDS or relevant documents.

Participation of Sub-consultants, Full time Key Experts in more than one Proposal is not permissible.

Full time Key Expert's required throughout any consulting service (Services for which consultants shortlisting notice was published on date 2080/7/24) shall not be repeated in any other proposal for consulting service under the same notice by same or other consulting firms.

## Section 2. Instructions to Consultants and Data Sheet

["Notes to the Client": this Section 2 - Instructions to Consultants shall not be modified. Any necessary changes to address specific country and project issues. to consultants are project issues. changes to address specific country and project issues, to supplement, but not over-write, the provisions of the Instructions to Consultants (ITC), shall be introduced through the Data Sheet only. "Notes to the Client" should be deleted from the final RFP issued to the shortlisted Consultants].

#### A. General Provisions

#### 1. Definitions

- (a). "Affiliate(s)" means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.
- (b). "Applicable Guidelines" means the policies of the Development Partner (DP) governing the selection and Contract award process, in case of DP funded project. "Applicable Law" means the laws and any other instruments having the force of law in Nepal as they may be issued and in force from time to time.
- "Borrower [or Recipient or Beneficiary]" means the Government, Government agency or other entity that signs the financing [or loan/credit/grant/project] agreement with the Development Partner.
- "Client" means the [procuring entity/implementing/ executing agency] that signs the Contract for the Services with the selected Consultant.
- (e). "Consultant" means a legally-established professional consulting firm or an entity that may provide or provides the Services to the Client under the Contract.
- "Contract" means a legally binding written agreement signed (f). between the Client and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).
- (g). "Data Sheet" means an integral part of the Instructions to Consultants (ITC) Section 2 that is used to reflect specific assignment conditions to supplement, but not to over-write, the provisions of the ITC.
- (h). "Day" means a calendar day.
- "Development Partner (DP)" means the country/institution funding (i). the project as specified in the Data Sheet.
- "Experts" means, collectively, Key Experts, Non-Key Experts, or any (j). other personnel of the Consultant, Sub-consultant or Joint Venture member(s).
- (k). "Government" means the government of the Nepal.
- "Joint Venture (JV)" means an association with or without a legal (l). personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is taken into account in the technical evaluation of the Consultant's

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|                         | proposal.  (n). "ITC" (this Section 2 of the RFP) means the Instructions to Consultants that provides the shortlisted Consultants with all information needed to prepare their Proposals.  (o). "LOI" (Section 1 of the RFP) means the Letter of Invitation being sent by the Client to the shortlisted Consultants.  (p). "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs are not evaluated individually.  (q). "Proposal" means the Technical Proposal and the Financial Proposal of the Consultant.  (r). "RFP" means the Request for Proposals prepared by the Client for the selection of Consultants, based on the SRFP.  (s). "SRFP" means the Standard Request for Proposals issued by PPMO, which must be used by the Public Entity as the basis for the preparation of the RFP.  (t). "Services" means the work to be performed by the Consultant pursuant to the Contract.  (u). "Sub-consultant" means an entity to whom the Consultant intends to subcontract any part of the Services while remaining responsible to the Client during the performance of the Contract.  (v). "TORs" (this Section 7 of the RFP) means the Terms of Reference that explain the objectives, scope of work, activities, and tasks to be performed. respective responsibilities of the Client and the |
| 2. Introduction         | performed, respective responsibilities of the Client and the Consultant, and expected results and deliverables of the assignment.  2.1 The Client named in the <b>Data Sheet</b> intends to select a Consultant from those listed in the Letter of Invitation, in accordance with the method of selection specified in the <b>Data Sheet</b> .  2.2 The shortlisted Consultants are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the <b>Data Sheet</b> , for consulting services required for the assignment named in the <b>Data Sheet</b> . The Proposal will be the basis for negotiating and ultimately signing the Contract with the selected Consultant.  2.3 The Consultants should familiarize themselves with the local conditions and take them into account in preparing their Proposals, including attending a pre-proposal conference if one is specified in the <b>Data Sheet</b> . Attending any such pre-proposal conference is optional and is at the Consultants' expense.  2.4 The Client will timely provide, at no cost to the Consultants, the  |
|                         | inputs, relevant project data, and reports required for the preparation of the Consultant's Proposal as specified in the <b>Data Sheet</b> .  |
| 3. Conflict of Interest | 3.1 The Consultant is required to provide professional, objective, and impartial advice, at all times holding the Client's interests paramount, strictly avoiding conflicts with other assignments or its own corporate interests, and acting without any consideration for future work.  The Consultant has an obligation to disclose to the Client any situation of actual or potential conflict that impacts its capacity to serve the best interest of its Client. Failure to disclose such situations may lead to the  |

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|    |                                    | disqualification of the Consultant or the termination of its Contract and/or blacklisting by the Public Procurement Monitoring Office/DP.   |  |
|    |                                    | Without limitation on the generality of the foregoing, and unless stated otherwise in the <b>Data Sheet</b> , the Consultant shall not be hired under the circumstances set forth below:  |  |
| a. | Conflicting activities             | (i) Conflict between consulting activities and procurement of goods, works or non-consulting services: a firm that has been engaged by the Client to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation. |  |
| b. | Conflicting assignments            | (ii) Conflict among consulting assignments: a Consultant (including its Experts and Sub-consultants) or any of its Affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant for the same or for another Client.   |  |
| c. | Conflicting relationships          | (iii) Relationship with the Client's staff: a Consultant (including its Experts and Sub-consultants) that has a close business or family relationship with a professional staff of the Client or are directly or indirectly involved in any part of (i) the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract.   |  |
| 4. | Unfair<br>Competitive<br>Advantage | 4.1 Fairness and transparency in the selection process require that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, the Client shall indicate in the <b>Data Sheet</b> and make available to all shortlisted Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.   |  |
| 5. | Corrupt and Fraudulent Practices   | 5.1 The GoN/DP requires compliance with its policy in regard to corrupt and fraudulent/prohibited practices as set forth in Section 6.  |  |
|    |                                    | 5.2 In further pursuance of this policy, Consultant shall permit and shall cause its sub-consultants and sub-contractors to permit GoN/DP or its representatives to inspect the accounts, records and other documents relating to the submission of the Proposal and execution of the contract, in case of award, and to have the accounts and records audited by auditors appointed by the GoN/DP.   |  |
|    |                                    | 5.3 Consultants shall be aware of the provisions on fraud and corruption stated in Clause GCC 10.1.   |  |
| 6. | Eligibility                        | 6.1 The GoN/DP permits consultants (individuals and firms, including Joint Ventures and their individual members) from the eligible countries as  |  |

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|                                      | stated in Section 5 to offer consulting services GoN/DP-financed projects.  |
|                                      | 6.2 Furthermore, it is the Consultant's responsibility to ensure that its Experts, joint venture members, Sub-consultants, agents (declared or not), sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by the GoN/DP.Maximum number of partners in JV shall be Specified in <b>Data sheet</b> .   |
|                                      | 6.3 As an exception to the foregoing Clauses 6.1 and 6.2 above:   |
| a. Sanctions                         | 6.3.1 A firm or an individual sanctioned by the GoN/DP in accordance with the above Clause 5.1 shall be ineligible to be awarded a GoN/DP-financed contract, or to benefit from a GoN/DP-financed contract, financially or otherwise, during such period of time as the GoN/DP shall determine. The list of debarred firms and individuals is available at the electronic address specified in the <b>Data Sheet</b> .  6.3.2 In case of a natural person or firm/institution/company which is  |
|                                      | already declared blacklisted and ineligible by the GoN, any other new or existing firm/institution/company owned partially or fully by such Natural person or Owner or Board of director of blacklisted firm/institution/company; shall not be eligible consultant.   |
| b. Prohibitions                      | <ul> <li>6.3.2 Firms and individuals shall have the nationality of an eligible countries as indicated in Section 5 (Eligible Countries) and:</li> <li>(a) as a matter of law or official regulations, Nepal prohibits commercial relations with that country; or</li> <li>(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.</li> </ul> |
| c. Restrictions for public employees | 6.3.3 Government officials and civil servants may only be hired under consulting contracts, either as individuals or as members of a team of a consulting firm, if permitted under GoN/DP policy, and their employment would not create a conflict of interest).  |
|                                      | B. Preparation of Proposals   |
| 7. General Considerations            | 7.1 In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.  |
| 8. Cost of Preparation of Proposal   | 8.1 The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and the Client shall not be responsible or liable for those costs, regardless of the conduct or outcome of the selection process. The Client is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant.   |
| 9. Language                          | 9.1 The Proposal, as well as all correspondence and documents relating to the Proposal exchanged between the Consultant and the Client, shall be written in the English language.   |
| 10. Documents                        | 10.1 The Proposal shall comprise the documents and forms listed in the  |

| Comprising the Proposal  10.2 The Consultant shall furnish information on commissions, gratuities and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the Financial Proposal submission form (Section 4).  11.Only One Proposal  11.1 The Consultant (including the individual members of any Join Venture) shall submit only one Proposal, either in its own name or as part or  |
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| ,  |
| a Joint Venture in another Proposal. If a Consultant, including any Join Venture member, submits or participates in more than one proposal, al such proposals shall be disqualified and rejected. This does not, however preclude a Sub-consultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal wher circumstances justify and if stated in the <b>Data Sheet</b> .  |
| 12.1 The Data Sheet indicates the period during which the Consultant's Proposal must remain valid after the Proposal submission deadline.  12.2 During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price.  12.3 If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation, and may be subject to blacklisting in accordance with Clause 5 of this ITC.   |
| a. Extension of Validity Period  12.4 The Client will make its best effort to complete the negotiations within the proposal's validity period. However, should the need arise, the Clien may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.  12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts. The Consultant shall not include any additional conditions against the provisions specified in RFP, while extending the validity of its Proposal.  12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated. |
|  |
| b. Substitution of Key Experts at Validity Extension  12.7 If any of the Key Experts become unavailable for the extended validity period, the Consultant shall provide a written adequate justification and evidence satisfactory to the Client together with the substitution request. In such case, a replacement Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical evaluation score, however, will remain to be based or the evaluation of the CV of the original Key Expert.  12.8 If the Consultant fails to provide a replacement Key Expert with equal or better qualifications, or if the provided reasons for the replacement of justification are unacceptable to the Client, such Proposal will be rejected.                            |

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|   | otherwise indicated in the Data Sheet.  |
| 13. Clarification and Amendment of RFP        | 13.1 The Consultant may request a clarification of any part of the RFP during the period indicated in the <b>Data Sheet</b> before the Proposals' submission deadline. Any request for clarification must be sent in writing, or by standard electronic means, to the Client's address indicated in the <b>Data Sheet</b> . The Client will respond in writing, or by standard electronic means, and will send written copies of the response (including an explanation of the query but without identifying its source) to all shortlisted Consultants. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below: |
|   | amend the RFP by issuing an amendment in writing or by standard electronic means. The amendment shall be sent to all shortlisted Consultants and will be binding on them. The shortlisted Consultants shall acknowledge receipt of all amendments in writing.   |
|   | 13.1.2 If the amendment is substantial, the Client may extend the proposal submission deadline to give the shortlisted Consultants reasonable time to take an amendment into account in their Proposals.  |
|   | 13.1.3 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.   |
| 14. Preparation of<br>Proposals –<br>Specific | 14.1 While preparing the Proposal, the Consultant must give particular attention to the following:  |
| Considerations                                | 14.1.1 If a shortlisted Consultant considers that it may enhance its expertise for the assignment by associating with other consultants in the form of a Joint Venture or as Sub-consultants, it may do so with either (a) non-shortlisted Consultant(s), or (b) shortlisted Consultants if permitted in the <b>Data Sheet</b> . In all such cases a shortlisted Consultant must obtain the written approval of the Client prior to the submission of the Proposal. When associating with non-shortlisted firms in the form of a joint venture or a subconsultancy, the shortlisted Consultant shall be a lead member.  |
|   | 14.1.2 The Client may indicate in the <b>Data Sheet</b> the estimated Key Experts' time input (expressed in person-month) or the Client's estimated total cost of the assignment. This estimate is indicative and the Proposal shall be based on the Consultant's own estimates for the same.   |
|   | 14.1.3 If stated in the <b>Data Sheet</b> , the Consultant shall include in its Proposal at least the same time input (in the same unit as indicated in the <b>Data Sheet</b> ) of Key Experts, failing which the Financial Proposal will be adjusted for the purpose of comparison of proposals and decision for award in accordance with the procedure in the <b>Data Sheet</b> .   |

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|  | 14.1.4 For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input is not disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the <b>Data Sheet</b> , and the Financial Proposal shall not exceed this budget.                                   |  |
| 15.Technical Proposal Format and Content                   | 15.1 The Technical Proposal shall not include any financial information. A Technical Proposal containing material financial information shall be declared non-responsive.  |  |
|  | 15.2 Only one curriculum vitae (CV) may be submitted for each key expert. If a technical proposal nominates more than one expert for a position, the Client will evaluate all CVs and apply the lowest score for the position.   |  |
| 16. Financial<br>Proposal                                  | 16.1 The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP. It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b) other expenses, (c) provisional sums when applicable indicated in the <b>Data Sheet</b> .                   |  |
| a. Price Adjustment  | 16.2 For assignments with a duration exceeding 12 months, a price adjustment provision for foreign and/or local inflation for remuneration rates applies if so stated in the <b>Data Sheet</b> .   |  |
| b. Taxes   | 16.3 The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arising out of the Contract. Information on taxes in the Client's country is provided in the <b>Data Sheet</b> .   |  |
| c. Currency of<br>Proposal                                 | 16.4 The Consultant may express the price for its Services in the currency or currencies as stated in the <b>Data Sheet</b> . If indicated in the <b>Data Sheet</b> , the portion of the price representing local cost shall be stated in the Nepalese Rupees.   |  |
| d. Currency of<br>Payment                                  | 16.5 Payment under the Contract shall be made in the currency or currencies in which the payment is requested in the Proposal.   |  |
|  | C. Submission, Opening and Evaluation  |  |
| 17. Submission,<br>Sealing, and<br>Marking of<br>Proposals | 17.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with Clause 10 (Documents Comprising Proposal). The submission can be done by mail or by hand. If specified in the <b>Data Sheet</b> , the Consultant has the option of submitting its Proposals electronically.                |  |
|  | 17.2 An authorized representative of the Consultant shall sign the original submission letters in the required format for both the Technical Proposal and, if applicable, the Financial Proposals and shall initial all pages of both. The authorization shall be in the form of a written power of attorney attached to the Technical Proposal. |  |
|  | 17.3 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member's authorized representative.  |  |
|  | 17.4 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.   |  |
|  | 17.5 The signed Proposal shall be marked "ORIGINAL", and its copies marked "COPY" as appropriate. The number of copies is indicated in   |  |

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|                     | the <b>Data Sheet</b> . All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.   |
|                     | 17.6 The original and all the copies of the Technical Proposal shall be placed inside of a sealed envelope clearly marked "TECHNICAL PROPOSAL", "[Name of the Assignment]", reference number, name and address of the Consultant, and with a warning "Do Not Open until [INSERT THE DATE AND THE TIME OF THE TECHNICAL PROPOSAL SUBMISSION DEADLINE]."   |
|                     | 17.7 Similarly, the original Financial Proposal (if required for the applicable selection method) shall be placed inside of a sealed envelope clearly marked "FINANCIAL PROPOSAL" followed by the name of the assignment, reference number, name and address of the Consultant, and with a warning "Do NOT OPEN WITH THE TECHNICAL PROPOSAL."  |
|                     | 17.8 The sealed envelopes containing the Technical and Financial Proposals shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, RFP reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "Do Not OPEN BEFORE DATE AS MENTIONED IN BDS"   |
|                     | 17.9 If the envelopes and packages with the Proposal are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the Proposal. For QCBS, FBS and LCS, if the Technical and Financial Proposals are not submitted in separate sealed envelopes as required, the Client shall reject the Proposal.   |
|                     | 17.10 The Proposal or its modifications must be sent to the address indicated in the Data Sheet and received by the Client no later than the deadline indicated in the Data Sheet, or any extension to this deadline. Any Proposal or its modification received by the Client after the deadline shall be declared late and rejected, and promptly returned unopened.  |
| 18. Confidentiality | 18.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact the Client on any matter related to its Technical and/or Financial Proposal. Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the letter of intent to accept the proposal has been issued to the selected Consultant. |
|                     | 18.2 Any attempt by shortlisted Consultants or anyone on behalf of the Consultant to influence improperly the Client in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal, and may be subject to the application of prevailing PPMO's blacklisting procedures.   |
|                     | 18.3 Notwithstanding the above provisions, from the time of the Proposals' opening to the time of issuance of notification for opening of financial proposal or the Letter of Intent, if a Consultant wishes to contact the Client on any matter related to the selection process, it should do so only in writing.  |
| 19. Opening of      | 19.1 The Client's evaluation committee shall conduct the opening of the  |
|                     |  |

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| Technical<br>Proposals                | Technical Proposals in the presence of the shortlisted Consultants' authorized representatives who choose to attend. The opening date, time and the address are stated in the <b>Data Sheet</b> . The envelopes with the Financial Proposal shall remain sealed and shall be securely stored until they are opened in accordance with Clause 23 of the ITC.  19.2 At the opening of the Technical Proposals the following shall be read out: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of a duly sealed envelope with the Financial Proposal; (iii) any modifications to the Proposal submitted prior to proposal submission deadline; and (iv) any other information deemed appropriate or as indicated in the <b>Data Sheet</b> .  |
| 20. Proposals<br>Evaluation           | <ul> <li>20.1 Subject to provision of Clause 15.1 of the ITC, the evaluators of the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded and the DP issues its "no objection", if applicable.</li> <li>20.2 The Consultant is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 12.7 of this ITC. While evaluating the Proposals, the Client will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.</li> </ul>   |
| 21. Evaluation of Technical Proposals | <ul> <li>21.1 The Client's evaluation committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and the RFP, applying the evaluation criteria, sub-criteria, and point system specified in the Data Sheet. Each responsive Proposal will be given a technical score. The evaluation committee shall compute the score obtained by each proposal by taking the average of the scores given by each member of the evaluation committee to the proposal. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP or if it fails to achieve the minimum technical score indicated in the Data Sheet.</li> <li>21.2 Proposed experts, involved in the firms' work in hand will not be considered for evaluation to the extent of this involvement in the ongoing assignment.</li> <li>21.3 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.</li> </ul> |
| 22. Financial<br>Proposals for<br>QBS | <ul> <li>Following the ranking of the Technical Proposals, when the selection is based on quality only (QBS), the top-ranked Consultant is invited to negotiate the Contract.</li> <li>If Financial Proposals were invited together with the Technical Proposals, only the Financial Proposal of the technically top-ranked Consultant is opened by the Client's evaluation committee. All other Financial Proposals are returned unopened after the Contract negotiations are successfully concluded and the Contract is signed.</li> </ul>  |

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|  | 22.3 | In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.   |
| 23. Public Opening of Financial Proposals (for QCBS, FBS, and LCS methods) | 23.1 | After the technical evaluation is completed and the DP has issued its no objection (if applicable), the Client shall notify those Consultants whose Proposals were considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score (and shall provide information relating to the Consultant's overall technical score) that their Financial Proposals will be returned unopened after completing the selection process and Contract signing. The Client shall simultaneously notify in writing those Consultants that have achieved the minimum overall technical score and inform them of the date, time and location for the opening of the Financial Proposals. The opening date should be at least 7 days for national shortlisting and 15 days for international shortlisting for attending the opening. The Consultant's attendance at the opening of the Financial Proposals is optional and is at the Consultant's choice.  The Financial Proposals shall be opened by the Client's evaluation committee in the presence of the representatives of those Consultants whose proposals have passed the minimum technical score. At the opening, the names of the Consultants, and the overall technical scores, shall be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened. |
|  |      | These Financial Proposals shall be then opened, and the following information will be recorded:  (a) Name and address,  (b) Proposed service charge,  (c) Discount offered, if any;  |
|  |      | <ul><li>(d) Description of the discrepancies, if any, between figure and words,</li><li>(e) Whether the financial proposal is signed or not by authorized</li></ul>  |
|  |      | representative of consultant,  (f) If any matter or content of the financial proposal is effaced whether such efface is signed by the consultant or his/her representative or not and the details of the amount and the content effaced,   |
|  |      | (g) Other necessary matters considered appropriate by the Public Entity  |
|  | 23.3 | In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.   |
| 24. Correction of  | 24.1 | Activities and items described in the Technical Proposal but not   |

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| Errors  | priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.   |
| a. Time-Based<br>Contracts                        | 24.1.1 If a Time-Based contract form is included in the RFP, the Client's evaluation committee will (a) correct any computational or arithmetical errors, (b) adjust the discount offered, if any, and (b) adjust the prices if they fail to reflect all inputs included for the respective activities or items in the Technical Proposal. In case of discrepancy between (i) a partial amount (sub-total) and the total amount, or (ii) between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between words and figures, the former will prevail. In case of discrepancy between the Technical and Financial Proposals in indicating quantities of input, the Technical Proposal prevails and the Client's evaluation committee shall correct the quantification indicated in the Financial Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost. |
| b. Lump-Sum<br>Contracts                          | 24.2 If a Lump-Sum contract form is included in the RFP, the Consultant is deemed to have included all prices in the Financial Proposal, so neither arithmetical corrections nor price adjustments shall be made. The total price, net of taxes understood as per Clause ITC 25 below, specified in the Financial Proposal (Form FIN-1) shall be considered as the offered price.  |
| 25. Taxes   | <ul> <li>25.1 Except as set out in Sub-clause 25.2, all taxes are deemed included in the Consultant's Financial proposal, and, therefore, included in the evaluation.</li> <li>25.2 Except for VAT, all taxes levied and imposed on the contract invoices and any tax liabilities arising from the Contract under the laws of Nepal are deemed included in the Consultant's Financial Proposal and, hence, included in the evaluation. Information on the Consultant's tax obligations in Nepal can be found as indicated in Clause 16.3 of the Data Sheet.</li> </ul>   |
| 26. Conversion to Single Currency                 | 26.1 For the evaluation purposes, prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the <b>Data Sheet</b> .   |
| 27. Combined Quality and Cost Evaluation          |  |
| a. Quality- and<br>Cost-Based<br>Selection (QCBS) | 27.1 In the case of QCBS, the total score is calculated by weighting the technical and financial scores and adding them as per the formula and instructions in the <b>Data Sheet</b> . The Consultant achieving the highest combined technical and financial score will be invited for negotiations.   |
| b. Fixed-Budget<br>Selection (FBS)                | 27.2 In the case of FBS, those Proposals that exceed the budget indicated in<br>Clause 14.1.4 of the Data Sheet shall be rejected.   |
|   | 27.3 The Client will select the Consultant that submitted the highest-ranked Technical Proposal that does not exceed the budget indicated in the RFP, and invite such Consultant to negotiate the Contract.  |
| c. Least-Cost                                     | 27.4 In the case of Least-Cost Selection (LCS), the Client will select the   |

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| Selection (LCS)                   | Consultant with the lowest evaluated total price among those consultants that achieved the minimum technical score, and invite such Consultant to negotiate the Contract.   |
|                                   | D. Negotiations and Award   |
| 28. Negotiations                  | <ul> <li>28.1 The negotiations will be held at the date and address indicated in the Data Sheet with the Consultant's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.</li> <li>28.2 The Client shall prepare minutes of negotiations that are signed by the Client and the Consultant's authorized representative.</li> </ul>   |
|                                   | 28.3 The date, time and address for the negotiations will be advised in writing by the client. The notification period shall be at least 15 days for international selection and 7 days for national selection.   |
| a. Availability of Key<br>Experts | <ul> <li>28.3 The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a pre-requisite to the negotiations, or, if applicable, a replacement in accordance with Clause 12 of the ITC. Failure to confirm the Key Experts' availability may result in the rejection of the Consultant's Proposal and the Client proceeding to negotiate the Contract with the next-ranked Consultant.</li> <li>28.4 Notwithstanding the above, the substitution of Key Experts at the negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.</li> </ul> |
| b. Technical<br>negotiations      | 28.5 The negotiations include discussions of the Terms of Reference (TORs), the proposed methodology, the Client's inputs, the special conditions of the Contract, and finalizing the "Description of Services" part of the Contract. These discussions shall not substantially alter the original scope of services under the TOR or the terms of the contract, lest the quality of the final product, its price, or the relevance of the initial evaluation be affected.  |
| c. Financial<br>negotiations      | <ul> <li>28.6 In the case of a Time-Based contract, where cost is a factor in the evaluation, unit rates negotiations for remuneration shall not take place. However, there may be negotiation on reimbursable expenses.</li> <li>28.7 If the selection method included cost as a factor in the evaluation, the total price stated in the Financial Proposal for a Lump-Sum contract shall not be negotiated.</li> <li>28.8 The format for (i) providing information on remuneration rates in the case of Quality Based Selection is provided in Appendix A to the Financial Form FIN-3:Financial Negotiations — Breakdown of Remuneration Rates.</li> </ul>  |

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| 29. Conclusion of Negotiations          | <ul> <li>29.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Client and the Consultant's authorized representative.</li> <li>29.2 If the negotiations fail, the Client shall inform the Consultant in writing of all pending issues and disagreements and provide a final opportunity to the Consultant to respond. If disagreement persists, the Client shall terminate the negotiations informing the Consultant of the reasons for doing so. The Client will invite the next-ranked Consultant to negotiate a Contract. Once the Client commences negotiations with the next-ranked Consultant, the Client shall not reopen the earlier negotiations.</li> </ul>   |
| 30. Award of Contract                   | <ul> <li>30.1 Pursuant to Clause 29.1 of this ITC, the consultant, with whom agreement is reached following negotiation, shall be selected for approval of his proposal and the Client shall notify its' intention to accept the proposal to the selected consultant and other short-listed consultants within 7 days of selection of the winning proposal.</li> <li>30.2 If the review application is not received by the Client pursuant to Clause 31.2 of this ITC then the proposal of the Consultant, selected as per Clause 30.1 of this ITC shall be accepted and the successful consultant shall be notified to come for signing the Agreement within 15 days.</li> <li>30.3 If the Consultant fails to sign an agreement pursuant to Clause 30.2 of this ITC then the Client will invite the consultant whose proposal received the next highest score to negotiate a contract.</li> <li>30.4 The Consultant is expected to commence the assignment on the date and at the location specified in the Data Sheet.</li> <li>30.5 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.</li> </ul> |
| 31. Request for Information/ Complaints | 31.1 A consultant, who has been informed that its technical proposal has been considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, may request the Client to provide the technical score obtained by him and the reason for not being able to qualify. The Client shall provide the information within 5 days of receiving such request. If the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days. The Applicant filing application for review shall have to furnish a cash amount or bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law equivalent to the amount specified in the Data Sheet with the validity period of at least ninety days from the date of filing of application.  In case of letter of intent after evaluation of financial proposal if the applicant is not satisfied with the decision given by the procuring   |

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|                            | entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days. The Applicant filing application for review shall have to furnish a cash amount or bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law equivalent to the 1% of Financial Proposal with the validity period of at least ninety days from the date of filing of application.  31.2 Any consultant, who has submitted a proposal and is not satisfied with the procurement process or Client's decision provided as per Clause 30.1 of this ITC and believes that the Client has committed an error or breach of duty which has or will result in loss to him then the consultant may give an application for review of the decision to the Client with reference to the error or breach of duty committed by the Client. The review application should be given within 7 days of receipt of information regarding the issue of letter by the Client notifying its intention to accept the winning proposal pursuant to Clause 30.1 of this ITC.  31.3 If a review application is received by the Client pursuant to Clause 31.2 of this ITC then the Client will clarify and respond within 5 days of  |
|                            | receiving such application.  31.4 If the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days.  31.5 If a complaint has been lodged to the client, the client shall put on hold the awarding process for 7 days period provided to lodge a complaint   |
|                            | to the review committee.   |
| 32. Conduct of Consultants | <ul> <li>32.1 The Consultant shall be responsible to fulfil his obligations as per the requirement of the Contract Agreement, RFP documents and Public Procurement Act and Regulations.</li> <li>32.2 The consultant shall not carry out or cause to carry out the following</li> </ul>  |
|                            | acts with an intention to influence the implementation of the procurement process or the Contract Agreement:   |
|                            | a. give or propose improper inducement directly or indirectly,   |
|                            | <ul><li>b. distortion or misrepresentation of facts</li><li>c. engaging or being involved in corrupt or fraudulent practice</li></ul>  |
|                            | d. interference in   |
|                            | e. Participation of other prospective bidders.   |
|                            | <ul> <li>f. coercion or threatening directly or indirectly to impair or harm, any<br/>party or the property of the party involved in the procurement<br/>proceedings,</li> </ul>   |
|                            | g. collusive practice among consultants before or after submission of proposals for distribution of works among consultants or fixing artificial/uncompetitive proposal price with an intention to deprive the Client the benefit of open competitive proposal price.  |
|                            | h. contacting the Client with an intention to influence the Client with regards to the proposals or interference of any kind in examination and evaluation of the proposals during the period after opening of proposals up to the notification of award of contract   |

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| 33. Blacklisting | 33.1 Without prejudice to any other rights of the client under this Contract , the Public Procurement Monitoring Office may blacklist a Consultant for his conduct up to three years on the following grounds and seriousness of the act committed by the consultant:  |
|                  | <ul> <li>a) if it is proved that the consultant committed acts pursuant to the<br/>Clause 32.2 of the ITC,</li> </ul>  |
|                  | b) if the consultant fails to sign an agreement pursuant to Clause 30.2 of the ITC,  |
|                  | <ul> <li>c) if it is proved later that the consultant has committed substantial defect in implementation of the contract or has not substantially fulfilled his obligations under the contract or the completed assignment is not of the specified quality as per the contract,</li> <li>d) if convicted by a court of law in a criminal offence which</li> </ul>  |
|                  | disqualifies the firm from participating in the contract.  |
|                  | <ul> <li>e) if it is proved that the contract agreement signed by the<br/>Consultant was based on false or misrepresentation of<br/>consultant's qualification information,</li> </ul>   |
|                  | f) if the consultant fails to submit the professional liability insurance within the period stipulated in the contract.  |
|                  | 33.2 A Consultant declared blacklisted and ineligible by the GoN, Public Procurement Monitoring Office (PPMO) and/or DP Development Partner in case of DP funded project, shall be ineligible to participate or to be awarded a contract during the period of time determined by the GoN, PPMO and/or the DP Development Partner.  |
|                  | The list of debarred firms is available at the electronic address specified in the <b>Data Sheet</b> .   |



#### E. Data Sheet

| A. General              |  |  |
|-------------------------|--|--|
| ITC Clause<br>Reference |  |  |
| 2.1                     | Name of the Client: New Town Project Co-ordination Office, Babarmahal, Kathmandu   |  |
|                         | Method of selection: QCBS with technical proposal - 80 % and financial proposal - 20% weightage.   |  |
| 2.2                     | Financial Proposal to be submitted together with Technical Proposal: Yes The name of the assignment Consulting services for " Feasibility study of land pooling with approval of the block plan for planned integrated settlement development in Guthichour rural municipality in Jumla District |  |
|                         | 1. Package No: (NTPCO-CS -16-2080/81)  |  |
| 2.3                     | A pre-proposal conference will be held: No   |  |
| 2.4                     | The Client will provide the following inputs, project data, reports, etc. to facilitate the preparation of the Proposals:  Please refer to Section 7, TOR  |  |
| 6.2                     | Maximum number of partners in JV shall be: 3 (three).  |  |
| 6.3.1                   | A list of debarred firms and individuals is available at the following website <a href="mailto:ppmo.gov.np">ppmo.gov.np</a>  |  |
|                         | B. Preparation of Proposals  |  |
| 10.1                    | The Proposal shall comprise the following:  1st Inner Envelope with the Technical Proposal:  (1) Power of Attorney to sign the Proposal  (2) Proof of Legal Status and Eligibility  (3) TECH-1  (4) TECH-2  (5) TECH-3  (6) TECH-4   |  |

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|   | (7) TECH-5<br>(8) TECH-6   |
|   | (9) TECH-7<br>AND  |
|   | 2 <sup>nd</sup> Inner Envelope with the Financial Proposal (if applicable):  (1) FIN-1  (2) FIN-2  (3) FIN-3  (4) FIN-4  Proof of legal status establish Consultant's legal capacity to enter into binding and enforceable contracts and may be supported by:  • Certificate of incorporation. |
| 11.1  | Participation of Sub-consultants, Full time Key Experts in more than one Proposal is <b>not</b> permissible.  No repetitions of key expert specified as full time throughout project duration in   |
|   | TOR is allowed.  |
| 12.1  | Proposals must remain valid for 90 calendar days after the proposal submission deadline.   |
| 12.9  | Sub-contracting is allowed for the proposed assignment <b>No</b>   |
| 13.1  | Clarifications may be requested no later than 10 days prior to the submission deadline.  The contact information for requesting clarifications is: The address for requesting clarifications is: NTPCO, Babarmahal, Kathmandu.  Facsimile: 01-4262496 Email: newtownp1@gmail.com               |
| 14.1.1 Shortlisted Consultants may associate with (a) non-shortlisted consultant(s): No |  |
|   | (b) other shortlisted Consultants: No  |
| 14.1.2  | Estimated input of national Key Experts' time-input as per TOR   |
| 16.1  | <ul> <li>(1) cost of travel by the most appropriate means of transport and the most direct practicable route;</li> <li>(2) cost of office accommodation, including overheads and back-stop support;</li> </ul>   |

| (3) communications costs; (4) cost of purchase or rent or freight of any equipment required to be by the Consultants; (5) cost of reports production (including printing) and delivering to the cost of reports production applies to remuneration rates:  No  "Information on the Consultant's tax obligations in Nepal can be for the Inland Revenue Department website: www.ird.gov.np."  The Financial Proposal shall be stated in the following currencies: | Client;                                   |  |
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| 16.2 A price adjustment provision applies to remuneration rates:  No  "Information on the Consultant's tax obligations in Nepal can be f the Inland Revenue Department website: www.ird.gov.np."  The Financial Proposal shall be stated in the following currencies:  | found at                                  |  |
| 16.3  "Information on the Consultant's tax obligations in Nepal can be f the Inland Revenue Department website: www.ird.gov.np."  The Financial Proposal shall be stated in the following currencies:  |   |  |
| the Inland Revenue Department website: www.ird.gov.np."  The Financial Proposal shall be stated in the following currencies:   |   |  |
|  | :   |  |
| 16.4 <u>Nepalese Rupees</u>  |   |  |
| C. Submission, Opening and Evaluation  |   |  |
| The Consultants "shall not" have the option of submitting their Pr electronically.   | oposals                                   |  |
| The Consultant must submit:  (a) Technical Proposal: one (1) original.  (b) Financial Proposal: one (1) original.  | (a) Technical Proposal: one (1) original. |  |
| 17.8 The Proposals must be received at the address below no later than:  |   |  |
| Date: 21st Chaitra   |   |  |
| Time: 12:00 noon   |   |  |
| The Proposal submission address is: NTPCO, Babarmahal  |   |  |
| An online option of the opening of the Technical Proposals is offe<br>The opening shall take place at: NTPCO, Babarmahal<br>Date: 20 <sup>TH</sup> Chaitra 2080<br>Time: 13:00 local time  | Date: 20 <sup>TH</sup> Chaitra 2080       |  |
| In addition, the following information will be read aloud at the of the Technical Proposals:  Confirmation that invitation to submit proposal was not transferred party.  Sealed financial proposal  Team composition  Work schedule  Manning schedule   |   |  |
| 21.1 Evaluation Criteria   |   |  |



#### **RFP EVALUATION CRITERIA**

Feasibility study of land pooling with approval of the block plan for planned integrated settlement development in Guthichour rural municipality in Jumla District

**Package No: (NTPCO-CS -16-2080/81)** 

**Total weightage of Technical Proposal = 80%** 

**Total weightage of Financial Proposal = 20%** 

Total marks of the technical proposal = 100

Minimum marks required to be secured by the Consultant in the technical proposal = 70

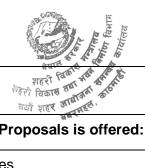
FY: 2080/81

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| S.No. | Particulars   | Marks | Remarks   |
| A     | TECHNICAL   | 100   |           |
| 1     | Consultant's specific experience  | 25    |           |
| 1.1   | Experience in similar projects related to planning projects   | 10    |           |
|       | Experience in Preparation of at least municipal level Integrated Urban  |       |           |
|       | Development Plan / Strategic Urban Development Plan / Regional  |       |           |
|       | Development Plan/ Long Term Development Plan/ Physical Development Plan/ Comprehensive Master Plan/ Municipal Periodic Plan consultancy                   |       |           |
|       | work completed within last 10 years and consulting cost of minimum 2  |       |           |
|       | Million with VAT (only urban municipality shall be considered)  |       |           |
| 1.2   | Experience in similar projects related to DER/ DPR  | 6     |           |
|       | Experience in Preparation of A-E Design/ Detail Engineering Design/   |       |           |
|       | DER/ DPR of Urban infrastructure Development Projects (Urban  |       |           |
|       | Road/Drain/Sewer/Urban water Supply with distribution system /Bus Park/Landfill site/ Park /Community Hall /City Hall/Vegetable                           |       |           |
|       | Market/Sport complex) completed within last 10 years with consulting  |       |           |
|       | cost of minimum 2 Million with VAT  |       |           |
| 1.3   | Experience in preparation of DPR of landpooling projects  | 6     |           |
|       | Experience in preparation of DPR of landpooling projects ( with block   |       |           |
|       | plan+land return Policy+land readjustment plan) completed within last 7   |       |           |
|       | years with minimum consulting cost of 1.5 Million with VAT and Contingencies  |       |           |
| 1.4   | Experience of Consulting Firm in Similar Geographical Region  | 3     |           |
| 1.4   | Experience in Preparation of similar projects as mentioned in 1.1, 1.2 and  | J     |           |
|       | 1.3 with specified costs, completed in similar geographical   |       |           |
|       | region(Mountain region)   |       |           |
|       |   |       |           |
| 2     | Adequacy of the proposed work plan and methodology in   | 22    |           |
| 2.1   | responding to the Terms of Reference  | 7     |           |
| 2.1   | Understanding of TOR:   | 7     |           |
| а     | <b>Critical review of ToR:</b> justification, elaboration, and comment or suggestion on <b>objective</b> , <b>scope</b> , <b>output</b> of the assignment |       |           |
|       |   |       | 1         |

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| b   | Literature review, extraction of lesson learned/remark from national international experience regarding the Urban Development Planning.   | WANTER BOT |       |
|     | Site and Situation Analysis, understanding of site for the context of the   |            |       |
| С   | study, and presentation of <b>innovative</b> ideas/ alternative solutions for the   |            |       |
|     | effective execution of the assignment.  |            |       |
|     | M-4b-dalam Walanda ahalam di Manada ahalada lalada  |            |       |
| 2.2 | Methodology, Work schedule and Manning schedule/plan relevant to the project  | 15         |       |
| a   | Quality of Methodology  | 5          |       |
| b   | Work schedule   | 4          |       |
| c   | Manning/Staffing schedule   | 3          |       |
| d   | Commitment of working in collaboration with local government and related ward   | 3          |       |
| 3   | Key personnel: Qualification and technical competence of the proposed key staffs for assignment   | 50         |       |
| 3.1 | Team Leader- 1 No.<br>(Maximum Marks = 21.0)  | 21         |       |
|     | Minimum Qualification: S/he must have Minimum Master's Degree in  |            |       |
|     | Geography/Regional Planning/ City Planning/ Urban Planning/   |            |       |
|     | Development Planning/Land Use Planning / Infrastructure Planning / Human settlement Planning/ Urban-ecological Planning/International Co- |            |       |
|     | operation and Urban Development Planning/Urban Management &   |            |       |
|     | Development/Urban Studies/Urban Design and Conservation/Regional  |            |       |
|     | Development Planning and Management/ Urban Design and Regional  |            |       |
|     | Planning and having minimum 15 years of working experience after  |            |       |
|     | masters degree.  General work experience after Master's Degree  |            |       |
| a   | •   |            |       |
| b   | Project related work experience after Master's Degree  Work Experience as Team leader/Deputy team leader for preparation of               |            |       |
|     | similar projects as mentioned in 1.1 or 1.2 or 1.3.   |            |       |
| c   | Work Experience in similar geographic region:   |            | -     |
|     | Work Experience as Team leader/Deputy team leader for preparation of  |            |       |
|     | similar projects as mentioned in 1.1 or 1.2 or 1.3 in similar geographical  |            |       |
|     | region.   | +          |       |
|     | Sociologist -1 Nos.   |            |       |
| 3.2 | (Maximum Marks = 9)   | 9          |       |
|     | Minimum Qualification: S/he must have Minimum master's degree in  |            |       |
|     | Sociology / Social Study / Social Science /Anthropology and having minimum 5 years of working experience after masters degree.            |            |       |
| a   | General work experience after Master's Degree   |            |       |
| b   | Project related work experience after Master's Degree   |            |       |
|     | Work Experience as Sociologist/ Community Development expert for  |            |       |
|     | preparation of similar projects as mentioned in 1.1 or 1.2 or 1.3   |            |       |
| С   | Work Experience in similar geographic region:   |            |       |
|     |   | 1          | l     |

|       | Work Experience as Sociologist/ Community Development expert in कार्या शहर similar geographical region.   | अधिनिता के वि | -<br> |
|-------|---|---------------|-------|
|       | similar geographical region.  | बबर           |       |
|       |   |               |       |
| 3.3   | Civil Engineer-1 No<br>(Maximum Marks =7)   | 7             |       |
|       | <b>Minimum Qualification:</b> S/he must have Bachelor's Degree in Civil Engineering and having minimum 3 years of working experience after bachelor's degree.   |               |       |
| a     | General work experience after Bachelor's Degree   |               |       |
| b     | Project related work experience after Bachelor's's Degree   |               |       |
|       | Work Experience as Civil Engineer for preparation of similar projects as mentioned in 1.1 or 1.2 or 1.3.  |               |       |
| c     | Work Experience as Civil Engineer in similar geographical region. (One project shall be considered)   |               |       |
|       |   |               |       |
| 3.4   | Architect-1 No (Maximum Marks =7)   | 7             |       |
|       | <b>Minimum Qualification:</b> S/he must have Bachelor's Degree in Architecture and having minimum 5 years of working experience after bachelor's degree.  |               |       |
| a     | General work experience after Bachelor's Degree   |               |       |
| b     | Project related work experience after Bachelor's Degree   |               |       |
|       | Work Experience as Architect for preparation of similar projects as mentioned in 1.1 or 1.2 or 1.3.   |               |       |
| c     | Work Experience in similar geographic region:   |               |       |
|       | Work Experience as Architect in similar geographical region.  |               |       |
| 3.5   | Senior Surveyor -1 No<br>(Maximum Marks = 6)  | 6             |       |
|       | Minimum Qualification: Minimum bachelor's degree in Geo-<br>information/ Remote Sensing / GIS/ Photogrammetry and Geo-<br>Informatics or Senior surveyor training (minimum 12 months) and having<br>minimum 3 years of working experience after bachelor's degree or senior<br>surveyor training. |               |       |
| a     | General work experience after Bachelor's Degree   |               |       |
| b     | Project related work experience after Bachelor's Degree   |               |       |
|       | Work Experience as senior surveyor  |               |       |
| c     | Work Experience in similar geographic region:   |               |       |
|       | Work Experience as senior surveyor in similar geographical region.  |               |       |
|       |   |               |       |
| 4     | Method of Technology Transfer (Imparting technical skill during the Study)  | 3             |       |
|       | Total marks   | 100           |       |
| Noto: |   |               |       |

- **Note:** 1. NEC certificate is mandatory for engineer/architect
  - 2. Equivalence certificate from TU shall be submitted for academic degree from foreign university
  - 3. Certificate of required minimum qualification shall be submitted. Higher qualification shall not be considered if minimum qualification is not obtained.



|                           | असी शहर   |  |  |
|---------------------------|---|--|--|
| 23.1                      | An online option of the opening of the Financial Proposals is offered: No   |  |  |
| 23.1 and 23.2             | The Client will read aloud only overall technical scores.   |  |  |
| 26.1                      | The single currency for the conversion of all prices expressed in various currencies into a single one is NRs.  The official source of the selling (exchange) rate is: Nepal Rastra Bank; www.nrb.org.np  The date of the exchange rate is: 30 days prior to the proposal submission deadline   |  |  |
| 27.1<br>[a. QCBS<br>only] | The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100.  The formula for determining the financial scores (Sf) of all other Proposals is calculated as following:  Sf = 100 x Fm/ F, in which "Sf" is the financial score, "Fm" is the lowest price, and "F" is the price of the proposal under consideration.  The weights given to the Technical (T) and Financial (P) Proposals are:  T = 0.80  P = 0.20  Proposals are ranked according to their combined technical (St) and financial (Sf) scores using the weights (T = the weight given to the Technical Proposal; P = the weight given to the Financial Proposal; T + P = 1) as following: S = St x T% + Sf xP%. |  |  |
|                           | D. Negotiations and Award   |  |  |
| 28.1                      | Expected date and address for contract negotiations: Date: Address: NTPCO, Babarmahal   |  |  |
| 30.4                      | Expected date for the commencement of the Services:  Date at:   |  |  |
| 31.1                      | The Applicant shall furnish a cash amount or a bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law with an amount of [NRs. 22,000.00].  |  |  |
| 33.2                      | A list of blacklisted firms is available at the PPMO's website <a href="http://www.ppmo.gov.np">http://www.ppmo.gov.np</a>  |  |  |



{Notes to Consultant shown in brackets { } throughout Section 3 provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

#### FORM TECH-1

#### **TECHNICAL PROPOSAL SUBMISSION FORM**

{Location, Date}

To: [Name and address of Client]

Dear Sirs:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposals dated [Insert Date] and our Proposal. [Select appropriate wording depending on the selection method stated in the RFP: "We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate envelope" or, if only a Technical Proposal is invited "We hereby are submitting our Proposal, which includes this Technical Proposal only in a sealed envelope."].

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal in a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: "of our letter of intent to form a joint venture" or, if a JV is already formed, "of the JV agreement"} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

OR

If the Consultant's Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and country of each Sub-consultant.}

We hereby declare that:

- (a) All the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification by the Client and/or may be blacklisted by the PPMO.
- (b) Our Proposal shall be valid and remain binding upon us for the period of time specified in the Data Sheet, Clause 12.1.
- (c) We have no conflict of interest in accordance with ITC 3 and we have not been punished for an offense relating to the concerned profession or business.
- (d) We meet the eligibility requirements as stated in ITC 6.
- (e) Ne ither we, nor our JV/associate partners/ sub-consultants or any of the proposed experts prepared the TOR for this consulting assignment.



- (f) Except as stated in the Data Sheet, Clause 12.1, we undertake to negotiate a Contract on the basis of the proposed Key Experts. We accept that the substitution of Key Experts for reasons other than those stated in ITC Clause 12 and ITC Clause 28.4 may lead to the termination of Contract negotiations.
- (g) Our Proposal is binding upon us and subject to any modifications resulting from the Contract negotiations.
- (h) In competing for (and, if the award is made to us, in executing) the Contract, we undertake to observe the laws against fraud and corruption, including bribery, in force in the country of the Client.

We undertake, if our Proposal is accepted and the Contract is signed, to initiate the Services related to the assignment no later than the date indicated in Clause 30.4 of the Data Sheet.

We understand that the Client is not bound to accept any Proposal that the Client receives.

| We remain,   |
|--|
| Yours sincerely,   |
| Authorized Signature {In full and initials}:Name and Title of Signatory: |
| Name of Consultant (company's name or JV's name): In the capacity of:    |
| Address:   |
| Contact information (phone and e-mail):                                  |

{For a joint venture, either all members shall sign or only the lead member, in which case the power of attorney to sign on behalf of all members shall be attached}



#### **CONSULTANT'S ORGANIZATION AND EXPERIENCE**

Form TECH-2: a brief description of the Consultant's organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant's Key Experts and Subconsultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant's role/involvement.

#### A - Consultant's Organization

1. Provide here a brief description of the background and organization of your company, and – in case of a joint venture – of each member for this assignment.

#### **B** - Consultant's Experience

- 1. List only previous <u>similar</u> assignments successfully completed in the last 7 (Seven) years.
- 2. List only those assignments for which the Consultant was legally contracted by the Client as a company or was one of the joint venture partners. Assignments completed by the Consultant's individual experts working privately or through other consulting firms cannot be claimed as the relevant experience of the Consultant, or that of the Consultant's partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Consultant should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by the Client.

Using the format below, provide information on each assignment for which your Consultant/entity, either individually as a corporate entity or as one of the major companies within an association, was legally contracted.

| Assignment Name:                                       |                      | Country:   |  |  |
|--|----------------------|--|--|--|
| Location within Country:                               |                      | Professional Staff Provided by Your Consultant/Entity(profiles): |  |  |
| Name of Client:  |                      | No. of Staff:  |  |  |
| Address:   |                      | No. of Staff-Months; Duration of Assignment:                     |  |  |
| Start Date   | Completion Date      | Approx. Value of Services  |  |  |
| (Month/Year):  | (Month/Year):        | Proposal   |  |  |
| (Morially Foar).                                       | (Monthly Foar).      | National level :NRs  |  |  |
|  |                      | International Level: (in Current US\$):                          |  |  |
| Name of Associated Cor                                 | sultants If Δnv:     | No. of Months of Professional Staff Provided by                  |  |  |
| Name of Associated Col                                 | isultarits, il Arry. | Associated Consultants:  |  |  |
|  |                      | ASSOCIATED CONSUITAINS.  |  |  |
|  |                      |  |  |  |
| Name of Conjur Staff and                               | d Designation (Pro   | icet Director/Coordinator Team Leader eta \ Involved and         |  |  |
| Functions Performed:                                   | u Designation (Proj  | ject Director/Coordinator, Team Leader etc.) Involved and        |  |  |
| Functions Performed:                                   |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
| Norretive Description of                               | Drojoot :/ Actual ac | prignment nature of activities performed and leastice)           |  |  |
| narrative Description of                               | Project :( Actual as | signment, nature of activities performed and location)           |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
| Description of Actual Services Provided by Your Staff: |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |
|  |                      |  |  |  |

| Consultant's Name: |  |
|--------------------|--|
|--------------------|--|



## COMMENTS AND SUGGESTIONS ON THE TERMS OF REFERENCE, COUNTERPART STAFF, AND FACILITIES TO BE PROVIDED BY THE CLIENT

Form TECH-3: comments and suggestions on the Terms of Reference that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by the Client, including: administrative support, office space, local transportation, equipment, data, etc.

#### A - On the Terms of Reference

{Improvements to the Terms of Reference, if any}

#### **B - On Counterpart Staff and Facilities**

{Include comments on counterpart staff and facilities to be provided by the Client. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}



## DESCRIPTION OF THE METHODOLOGY AND WORK PLAN IN RESPONDING TO THE TERMS OF REFERENCE

Form TECH-4: a description of the methodology and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{Suggested structure of your Technical Proposal:

- a) Technical Approach and Methodology
- b) Work Plan
- c) Organization and Staffing}
- a) <u>Technical Approach and Methodology.</u>{Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology you would adopt for implementing the tasks to deliver the expected output(s), and the degree of detail of such output. <u>Please do not repeat/copy the TORs in here.</u>}
- b) <u>Work Plan.</u>{Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and tentative delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents(including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}
- c) <u>Organization and Staffing.</u>{Please describe the structure and composition of your team, including the list of the Key Experts and relevant technical and administrative support staff.}



#### **WORK SCHEDULE AND PLANNING FOR DELIVERABLES**

| NIC     | Deliverables <sup>1</sup> (D)          | Months |   |   |   |   |   |   |   |   |  |   |       |
|---------|--|--------|---|---|---|---|---|---|---|---|--|---|-------|
| N°      |  | 1      | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |  | n | TOTAL |
| D-<br>1 | {e.g., Deliverable #1:<br>Report A     |        |   |   |   |   |   |   |   |   |  |   |       |
|         | 1) data collection                     |        |   |   |   |   |   |   |   |   |  |   |       |
|         | 2) drafting                            |        |   |   |   |   |   |   |   |   |  |   |       |
|         | 3) inception report                    |        |   |   |   |   |   |   |   |   |  |   |       |
|         | 4) incorporating comments              |        |   |   |   |   |   |   |   |   |  |   |       |
|         | 5)                                     |        |   |   |   |   |   |   |   |   |  |   |       |
|         | 6) delivery of final report to Client} |        |   |   |   |   |   |   |   |   |  |   |       |
|         |  |        |   |   |   |   |   |   |   |   |  |   |       |
| D-<br>2 | {e.g., Deliverable #2:}                |        |   |   |   |   |   |   |   |   |  |   |       |
|         |  |        |   |   |   |   |   |   |   |   |  |   |       |
|         |  |        |   |   |   |   |   |   |   |   |  |   |       |
| n       |  |        |   |   |   |   |   |   |   |   |  |   |       |
|         |  |        |   |   |   |   |   |   |   |   |  |   |       |

- 1 List the deliverables with the breakdown for activities required to produce them and other benchmarks such as the Client's approvals. For phased assignments, indicate the activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in a form of a bar chart.
- 3. Include a legend, if necessary, to help read the chart.



## FORM TECH-6 TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS' INPUTS

| N°    |              |              |         |     |     |     |  | Total time-input<br>(in Months) |  |      |       |       |
|-------|--------------|--------------|---------|-----|-----|-----|--|---------------------------------|--|------|-------|-------|
|       | and DOB      | Positio<br>n |         | D-1 | D-2 | D-3 |  | D                               |  | Home | Field | Total |
| KEY   | EXPERTS      |              | •       |     |     | •   |  |                                 |  |      |       |       |
| Natio | onal         |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              | [Home]  |     |     |     |  |                                 |  |      |       |       |
|       |              |              | [Field] |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  | Subtotal                        |  |      |       |       |
| NON   | -KEY EXPERTS |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              | [Home]  |     |     |     |  |                                 |  |      |       |       |
|       |              |              | [Field] |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  |                                 |  |      | -     |       |
|       |              |              |         |     |     |     |  |                                 |  |      | -     |       |
|       |              |              |         |     |     |     |  |                                 |  |      |       |       |
|       |              |              |         |     |     |     |  | Subtotal                        |  |      |       |       |
|       |              |              |         |     |     |     |  | Total                           |  |      |       |       |

- 1 For Key Experts, the input should be indicated individually for the same positions as required under the Data Sheet ITC21.1.
- Months are counted from the start of the assignment/mobilization. 3 "Home" means work in the office in the expert's place of residence. "Field" work means work carried out in the site.



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#### FORM TECH-7

#### **CURRICULUM VITAE (CV)**

| Position Title and No. | {e.g., K-1, TEAM LEADER}                 |
|------------------------|--|
| Name of Firm           | Insert name of firm proposing the expert |
| Name of Expert:        | {Insert full name}                       |
| Date of Birth:         | {day/month/year}                         |
| Citizenship            |  |

| Date of Bir   | <b>th:</b> {da  | ay/month                              | n/year}   |  |
|---|---|---------------------------------------|---|--|
| Citizenship   |   |                                       |   |  |
|   | : {List college/university of linstitutions, dates attended,  |                                       | •   |  |
| reverse ord<br>held, type of<br>location of<br>organization                               | nt record relevant to the der. Please provide dates, of employment (full time, particle assignment, and continue) who can be contacted for nent does not need to be income. | name of time, of tact info or referen | f employing organi<br>contractual),types of<br>rmation of previou | zation, titles of positions<br>f activities performed and<br>s clients and employing |
| Period Employing organization and your title/position. Contact information for references |   |                                       | Country   | Summary of activities performed relevant to the Assignment                           |
| [e.g., May<br>2005-<br>present]   | [e.g., Ministry of, advisor/consultant to  For references: Tel mail; Mr. Bbbbbb, dep minister]  |                                       |   |  |
|   |   |                                       |   |  |
|   | ip in Professional Associa<br>Skills (indicate only langua  |                                       |   | rk):   |
| Adequacy  | for the Assignment:   |                                       |   |  |
| Detailed Ta   | asks Assigned on Consulta   | ant's F                               | Reference to Prior  | Work/Assignments   |

| Detailed Tasks Assigned on Consultant's Team of Experts:                         | Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks |
|--|---|
| {List all deliverables/tasks as in TECH- 5 in which the Expert will be involved) |   |
|  |   |

|   | WEST FROM AN LEE AND THE REAL PROPERTY OF THE PERSON OF TH |
|---|--|
| Expert's contact information: (e-mail                                 | , phone)   |
| Certification:  |  |
| I, the undersigned, certify to the best of my known                   | owledge and belief that  |
| (i) This CV correctly describes my qualification                      | s and experience   |
| (ii) I am not a current employee of the GoN                           |  |
|   | undertake this assignment for the duration and<br>irm TECH 6 provided team mobilization takes  |
| (iv) I was not part of the team who wrote the assignment              | terms of reference for this consulting services  |
| (v) I am not currently debarred by a multilate project]               | eral development bank (In case of DP funded  |
| the {name of project and contract}. I cont                            | rm that it is including my CV in the Proposal for firm that I will be available to carry out the mitted in accordance with the implementation bosal.   |
| (vii) I declare that Corruption Case is not filed a                   | against me.  |
| I understand that any willful misstatement desorismissal, if engaged. | cribed herein may lead to my disqualification or   |
|   | Date:  |
| [Signature of expert]   | Day/Month/Year   |
|   | Date:  |
| [Signature of authorized representative of                            | f the firm] Day/Month/Year   |

Full name of authorized representative:



#### Section 4. Financial Proposal - Standard Forms

{Notes to Consultant shown in brackets { } provide guidance to the Consultant to prepare the Financial Proposals; they should not appear on the Financial Proposals to be submitted.}

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided in Section 2.

| FIN-1 | Financial    | Proposal  | Submission    | Form |
|-------|--------------|-----------|---------------|------|
|       | · iiiaiioiai | . Topoodi | Cabiiiicoicii |      |

FIN-2 Summary of Costs

FIN-3 Breakdown of Remuneration

FIN-4 Other Expenses, Provisional Sums

## FORM FIN-1 FINANCIAL PROPOSAL SUBMISSION FORM



|        |   |                             | {Location, Date}  |
|--------|---|-----------------------------|---|
| То:    | [Name and address of Cl   | ient]                       |   |
| Dear   | Sirs:   |                             |   |
| in acc |   |                             | ting services for [Insert title of assignment]<br>ert Date] and our Technical Proposal.                                     |
|        | nt(s) currency (ies)} {Inse<br>Clause 25.2 in the Data S                      | rt amount(s) in words a     | ount of {Indicate the corresponding to the and figures}, excluding Value Added Tax all amounts shall be the same as in Form |
|        |   | piration of the validity pe | subject to the modifications resulting from eriod of the Proposal, i.e. before the date                                     |
|        |   | ission of this Proposal     | paid by us to an agent or any other party<br>I and Contract execution, paid if we are                                       |
| N      | ame and Address of Agent(s)/Other party                                       | Amount and<br>Currency      | Purpose of Commission or Gratuity   |
| or fee |   | aid by us to agents or ar   | statement: "No commissions, gratuities<br>ny other party relating to this Proposal  |
|        | We understand you are r   | ot bound to accept any      | Proposal you receive.   |
|        | We remain,  |                             |   |
|        | Yours sincerely,  |                             |   |
|        | Authorized Signature {In Name and Title of Signat In the capacity of:Address: | ory:                        |   |

{For a joint venture, either all members shall sign or only the lead member/consultant, in which case the power of attorney to sign on behalf of all members shall be attached.}

E-mail:

#### FORM FIN-2 SUMMARY OF COSTS

|   |   | Cost  |   |   |  |  |  |
|---|---|---|---|---|--|--|--|
|   | {Consultant must state the proposed Costs in accordance with Clause 16.4 of the Data Sheet. Payments will be made in the currency (ies) expressed. Delete columns which are not |   |   |   |  |  |  |
| Item  | {Insert Foreign<br>Currency # 1}  | {Insert<br>Foreign<br>Currenc<br>y # 2, if<br>used} | {Insert<br>Foreign<br>Currenc<br>y # 3, if<br>used} | {Insert<br>Local Currency, if<br>used and/or<br>required (16.4 Data<br>Sheet} |  |  |  |
|   |   | -   | -   |   |  |  |  |
| (A) Remuneration, (Key Experts)                   |   |   |   |   |  |  |  |
| (B) Remuneration, (Non-Key Experts/Support Staff) |   |   |   |   |  |  |  |
| (C) Reimbursable Expenses <sup>2</sup>            |   |   |   |   |  |  |  |
| (D) Miscellaneous Cost                            |   |   |   |   |  |  |  |
| Sub-Total   |   |   |   |   |  |  |  |
| Total Cost of the Financial Proposal <sup>1</sup> |   |   |   |   |  |  |  |
| Value Added Tax (VAT)                             |   |   |   |   |  |  |  |

Should match the amount in Form FIN-1.
 Reimbursable expenses is non- competitive component and the fixed cost of reimbursable expenses with detailed breakdown is as per FIN -4.

FORM FIN-3 BREAKDOWN OF REMUNERATION 2. When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for the calculation of the Contract's earliest and the contract to assign amount to assign the contract to calculation of the Contract's ceiling amount; to calculate applicable taxes at contract negotiations, and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This Form shall not be used as a basis for payments under Lump-Sum contracts

| No. | Name                    | Nationali<br>ty | month Remunera on Rate (Home) y Person- month Remunera | Person-<br>month<br>Remunerati<br>on Rate<br>(Home) | Time Input in<br>Person/Mont<br>h<br>(from TECH-<br>6) (Home)  | {Currency<br>- 1- as in<br>FIN-2} | {Currency<br>2- as in<br>FIN-2} | {Currency<br>3- as in<br>FIN-2}            | {Local<br>Currency-<br>as in FIN-<br>2} |  |  |  |  |  |  |
|-----|-------------------------|-----------------|--|---|--|-----------------------------------|---------------------------------|--|---|--|--|--|--|--|--|
| NO. | Position (as in TECH-6) | Firm            |  | Remunerati on Rate                                  | Time Input in<br>Person/Mont<br>h<br>(from TECH-<br>6) (Field) |                                   |                                 |  |   |  |  |  |  |  |  |
|     | KEY EXPERTS             |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 1.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 2.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 3.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 4.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 5.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 6.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 7.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 8.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
|     | Tot                     | al Costs: Ke    | y Experts  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
|     | NON-KEY EXPERTS/SUPP    | ORT STAFF       |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 1.  |                         |                 |  |   |  |                                   |                                 |  |   |  |  |  |  |  |  |
| 2.  |                         |                 |  |   | _  |                                   |                                 |  |   |  |  |  |  |  |  |
|     | Total Cos               | ts: Non-Key     | Experts/Su   | pport Staff   |  |                                   |                                 | Total Costs: Non-Key Experts/Support Staff |   |  |  |  |  |  |  |

<sup>&</sup>lt;sup>2</sup> In the case of selections that do not include cost as an evaluation factor (i.e., QBS, CQS, and SSS), the Client may use an expanded version of this Form to add columns to request social charges, overhead, other charges (such as premium for field assignments in difficult locations) and the multiplier.

#### Sample Form



Consultant: Country: Assignment: Date:

#### Consultant's Representations Regarding Costs and Charges

We hereby confirm that:

(e)

- (a) the basic fees indicated in the attached table are taken from the firm's payroll records and reflect the current rates of the Experts listed which have not been raised other than within the normal annual pay increase policy as applied to all the Consultant's Experts;
- (b) attached are true copies of the latest pay slips of the Experts listed;

Title:

- (c) the away- from- home office allowances indicated below are those that the Consultant has agreed to pay for this assignment to the Experts listed;
- (d) the factors listed in the attached table for social charges and overhead are based on the firm's average cost experiences for the latest three years as represented by the firm's financial statements; and

said factors for overhead and social charges do not include any bonuses or other



#### FORM FIN-4 BREAKDOWN OF OTHER EXPENSES

FORM FIN-4 BREAKDOWN OF OTHER EXPENSES.

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for calculation of the Contract ceiling amount, to calculate applicable taxes at contract negotiations and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This form shall not be used as a basis for payments under Lump-Sum contracts

| S.N. | Type of Expenses,               | Quantity | Unit | Unit Price | {Currenc<br>y # 1- as<br>in FIN-2} | {Currency<br>#2- as in<br>FIN-2} | {Curren<br>cy# 3-<br>as in<br>FIN-2} | {Local Currency- as in FIN-2} |
|------|---------------------------------|----------|------|------------|------------------------------------|----------------------------------|--------------------------------------|-------------------------------|
| Α    | Reimbursable Expenses           |          |      |            |                                    |                                  |                                      |                               |
| 1.   | Transportation                  | -        | -    | -          |                                    |                                  |                                      |                               |
|      | Sub-Total(A)                    |          |      |            |                                    |                                  |                                      |                               |
| В    | Miscellaneous                   |          |      |            |                                    |                                  |                                      |                               |
| 1    | Equipment (total station etc.)  |          | LS   |            |                                    |                                  |                                      |                               |
| 2.   | Local Level meeting             |          | LS   |            |                                    |                                  |                                      |                               |
| 3.   | Central Level meeting           |          |      |            |                                    |                                  |                                      |                               |
| 4.   | Maps/printing/reports/brochures |          | LS   |            |                                    |                                  |                                      |                               |
|      | Sub-Total(B)                    |          |      |            |                                    |                                  |                                      |                               |



#### **Section 5. Eligible Countries**

[This section contains the list of eligible countries. Select one option, either GoN Funded or DP Funded.]

For GoN funded: [select one option as appropriate]

For the purpose of National shortlisting: "Nepal"; or

For the purpose of International Shortlisting: "all Countries"

For DP funded: attach list as per their list of eligible countries



#### **Section 6. Corrupt and Fraudulent Practices**

["Notes to the Client": The following text is for GoN funded assignment and shall not be modified. In case DP funded project use DP's policy on corrupt and fraudulent practices]

It is the GoN's policy to require its implementing agencies, as well as consultants under GoN (or DP) financed contracts, to observe the highest standard of ethics during the selection and execution of such contracts. In pursuance of this policy, the GoN:

- a. defines, for the purposes of this provision, the terms set forth below as follows:
  - (i) "corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, anything of value to influence improperly the actions of another party;
  - (ii) "fraudulent practice" means any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation;
  - (iii) "coercive practice" means impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
  - (iv) "collusive practice" means an arrangement between two or more parties designed to achieve an improper purpose, including influencing improperly the actions of another party.
  - (iv) "obstructive practice" means:
    - (aa)deliberately concealing destroying, falsifying, altering or the investigation material to making or statements to investigators in order to materially impede a GoN/DP investigation into allegations of a corrupt, fraudulent, coercive collusive practice; and/or threatening, harassing intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
    - (bb) acts intended to materially impede the exercise of the GoN's/DP's inspection and audit rights provided for under Clause GCC 25.2.
- b. will reject a proposal for award if it determines that the consultant recommended for award has engaged in corrupt or fraudulent activities in competing for the contract in question;
- will cancel the consultant's contract if it at any time determines that corrupt or fraudulent practices were engaged in by representatives of the consultant or the Client during the selection process or the execution of that contract;
- d. will blacklist a consultant for a stated period of time, to be awarded a contract if it at any time determines that the consultant has engaged in corrupt or fraudulent practices in competing for, or in executing, a contract; and
- e. will have the right to require that, a provision be included requiring consultants to permit the Client to inspect their accounts and records relating to the performance of the contract and to have them audited by auditors appointed by the Client.





#### **Section 7: TERMS OF REFERENCE**



## जुम्ला जिल्ला गुठीचौर गाँउपालिकामा योजनाबद्ध एकीकृत वस्ती विकासका लागि जग्गा विकासको संभाव्यता अध्ययन गरी ब्लक प्लान स्वीकृत गर्ने कार्यका लागि कार्यक्षेत्रगत शर्त

#### १. पृष्ठभूमि

नेपाल सरकारको आ.व. ०६७/६८ को नीति तथा कार्यक्रम अनुसार मध्य पहाडी राजमार्ग र उत्तर-दक्षिण करीडोरको संगम स्थल आसपास आधुनिक नयाँ शहरहरको विकास तथा निर्माण गर्ने सोच अनुरुप नयाँ शहर आयोजना संचालनमा रहेको छ। नेपाल सरकारबाट विभिन्न मितिमा घोषणा भएका (मध्य पहाडी लोकमार्ग, हुलाकी लोकमार्ग, तराई मधेश, हिमाली पदमार्ग लगायत विभिन्न स्थानमा) नयाँ शहरहरु, स्मार्ट सिटीहरु र हिमाली शहरहरु गरी जम्मा ५४ वटा शहरहरुको अध्ययन भई कार्य अगाडि बढाइएको छ।यस आयोजनाले नेपाल सरकारको राष्ट्रिय शहरी नीति, २०६४ ले औंल्याएको सन्तुलित शहरी विकास "Balanced Urban Development" नीतिका साथै दिगो विकास लक्ष्यको ११ औं बुँदा दिगो शहर तथा समुदायहरू (Sustainable Cities and Communities) लाई आत्मासात गरेको छ।

यस आयोजनको उद्देश्य शहरीकरणलाई व्यवस्थित गरी प्रत्येक शहरलाई त्यस क्षेत्रको केन्द्रको रुपमा विकास गर्ने; ठूला शहरी क्षेत्र, तराई तथा कृषि योग्य क्षेत्रहरुमा हुन सक्ने बसाईंसराईलाई नियमन गर्ने; बस्तीहरुको विकासको रणनीतिक योजना, कार्यक्रम, भूउपयोग तथा विकास रणनीति तयार पार्ने; कृषि, पर्यटक, शैक्षिक तथा औद्योगिक क्षेत्रहरुको विकास एवं प्रवर्दन गरी आर्थिक क्रियाकलापहरु क्रियाशिल गर्ने र ग्रामीण क्षेत्रबाट ठूला शहरहरुमा हुने वसाइसराईलाई कम गर्दें साना तथा मझौला आधुनिक शहरहरुको विकास गर्दें जाने रहेको छ।

हाल मध्य पहाडी लोकमार्ग आसपासका ११ स्थानमा नयाँ शहर आयोजना कार्यालय स्थापना भई शहरी योजना तथा पूर्वाधार निर्माण लगायत एकीकृत जग्गा विकास (Land Pooling) का कार्यहरु भइरहेको छ। हाल ८ वटा नयाँ शहरमा १० वटा जग्गा विकास कार्यक्रम संचालनमा रहेको छ। यस बाहेक विभिन्न स्थानका स्मार्ट शहर तथा तराई मधेश/हुलाकी राजमार्ग अन्तर्गतका नयाँ शहरहरुमा जग्गा एकीकरणका लागि अध्ययन कार्यहरु भएका छन्। वर्तमान सन्दर्भमा जग्गा एकीकरण नै शहरी विकास तथा बस्ती विकासको लागि सशक्त तथा उपयुक्त माध्यमको रुपमा रहेको हुँदा यसलाई अझ व्यापक बनाउनुको अरु विकल्प छैन।

यसै परिप्रेक्ष्यमा यस समन्वय कार्यालयको आ.व. २०८०।८१ को स्वीकृत वार्षिक कार्यक्रम: जुम्ला जिल्ला गुठिचौर गाउँपालिकामा योजनावद्द/एकीकृत बस्ती विकासका लागि जग्गा विकासको



सम्भाव्यता अध्ययन गरी ब्लक प्लान स्विकृत गर्ने कार्य अन्तर्गत परामर्श सेवा लिने प्रयोजनार्थ यो कार्यक्षेत्रगत शर्तहरू तयार पारिएको छ।

#### २. अध्ययनको उद्देश्य

यस अध्ययन कार्यको उद्देश्य गुठिचौर गाँउपालिकाको वस्ती विकास योजना (Settlement Development Plan) तर्जुमा गर्नु हो । यसका अन्तर्निहित उद्देश्यहरू देहाए अनुसार छन् ।

- हिमाली क्षेत्रको विशिष्ट भू उपयोग, पहिचानयुक्त ऐतिहासिक/ सांस्कृतिक सम्पदा वस्ती, निर्मित वातावरण, परम्परागत/ स्थानीय वास्तुकला, र मौलिक निर्माण प्रविधिको संरक्षण र संवर्धनका संबन्धमा जनचासो अभिबृद्धि र नियोजन गर्ने ।
- मोटरबाटो लगायत आयातित निर्माण सामाग्री र प्रविधिहरूले पार्न सक्ने दुष्प्रभावहरूको न्यूनिकरण, एवं जलवायु परिवर्तन र विपद् जोखिम न्यूनिकरणका उपायहरूको आन्तरिकिकरण सहित मानव बसोवासलाई दिगो र उत्थानशील बनाउन दिशानिर्देश गर्ने।

#### ३. अध्ययनको कार्यक्षेत्र

#### क) भौगोलिक क्षेत्र

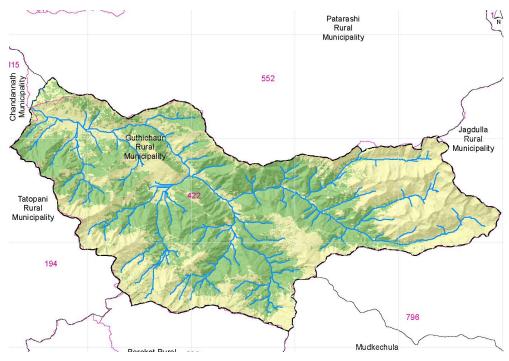
कर्णाली प्रदेश अन्तर्गत जुम्ला जिल्लामा पर्ने गुठिचौर गाउँपालिका साविकका देपालगाउँ, गोठिचौर र गर्ज्याङ्गकोट गरी ३ गा.वि.स.हरु मिलेर बनेको एक स्थानीय तह हो । करिब ४२७ वर्ग कि.मि. क्षेत्रफलमा रहेको यस गुठिचौर गाउँपालिकाको जनसंख्या २०७८ सालको जनगणना अनुसार जम्मा १०९२२ जनगणना र कुल घरपरिवार संख्या २४४४ रहेको छ। यस गाउँपालिकाको पूर्वमा डोल्पा जिल्ला, पश्चिममा चन्दननाथ नगरपालिका , उत्तरमा पातारासी गाउँपालिका र दक्षिणमा जाजरकोट जिल्ला रहेका छन्। यस गाउँपालिका अन्तर्गत ५ वटा वडाहरु रहेका छन्। यस गुठिचौर गाउँपालिकाको केन्द्र गुठिचौर गाउँपालिकाको वडा नं ४ मा पर्ने धलमुडी भन्ने स्थानमा हरेको छ। यस गाउँपालिकाको नामाकरण यस गाउँपालिका अन्तर्गतको पर्यटकीय स्थल गोठिचौरको नामबाट नामाकरण गरिएको हो।

यो गाउँपालिका भौगोलिक रूपमा अति सुन्दर र पर्यटकीय संभावना बोकेको गाउँपालिका हो। यस गाउँपालिका अन्तर्ग चिमारामालिका, गोठिचौर, दुधकुन्डली ताल, चोत्र नमुना बस्ती लगायत थुप्रै पर्यटकीय स्थलहरू रहेका छन्। 'सुखी, खुशी, समृद्ध गुठिचौरको अभियानः जल, जिमन, जंगल र जिडबुटी हाम्रो शान" दीघर्कालीन सोच सिहत अगाडि बढेको गाँउपालिकाले यस चालु आ.व.२०८०/८१ को वार्षिक नीति तथा कार्यक्रममा देहाएका विषयवस्तुहरू समेत समेटेको पाइन्छ

- आवास योजना र वस्ती विकास योजना तर्जुमा गरी कार्यान्वयन गरिने,
- भूउपयोग नीति लागु गर्दै जाने,



एक वडा एक पार्क निर्माण नीति अबलम्बन गर्ने, आदि ।



#### ख) कार्यक्षेत्र

यसका कार्यको प्रमुख कार्यक्षेत्र देहाए अनुसार छन् ।

- उल्लेखित पालिकाको उप/क्षेत्रीय अवस्थिति, सम्पर्क सञ्जाल, प्रभाव क्षेत्रहरू (influence zone), परम्परागत भुउपयोग पद्धति, र तहगत वस्ती प्रणालीको पहिचान गर्ने ।
- पालिका भित्रका मुख्य मुख्य ऐतिहासिक/ सांस्कृतिक सम्पदा वस्ती, निर्मित वातावरण,
   परम्परागत/ स्थानीय वास्तुकला, र मौलिक निर्माण प्रविधिको अभिलेखीकरण गर्ने ।
- पालिकाको आर्थिक विकासको संभावनाको क्षेत्रहरू पहिचान गर्ने ।
- पालिकाको बहु-विपद् जोखिम आंकलन गर्ने; जलवायु, भूगोल, जलाधारीय अवस्था,
   योजनाबिनाका परियोजनाबाट सिर्जित जोखिमहरूको विष्लेषण गर्ने ।
- माथिका तथ्य र सूचनामा आधारित रिह मुख्य मुख्य भूउपयोग क्षेत्रहरू निर्धारण, रणैतिक पूर्वाधारको अवस्थिति, र विकास केन्द्र/ उपकेन्द्र (development nodesprimary/secondary/tertiary)हरू यकीन सिहत जनसहभागितात्मक तवरले पालिकाको अवधारणात्मक भौतिक विकास योजना तर्जुमा गर्ने ।
- स्थानीय सम्पदाको संरक्षण र संबर्धन समेत हुने गरी बसोबास क्षेत्र/ उपक्षेत्रहरूको योजना,
   वास्तुकला तथा निर्माण सम्बन्धी एक सरल र सबैले बुझ्ने मापदण्ड तर्जुमा गर्ने; र प्रभावकारी
   कार्यान्वयनका लागि उत्प्रेरणा र नियन्त्रणका विभिन्न उपायहरू सुझाउने ।
- तर्जुमा भएका वस्ती विकास योजना र मापदण्ड गाँउपालिकाबाट अनुमोदन गराउने ।

- भूगोलको उपयुक्तता, विद्यमान सेवा सुविधाको उपलब्धता, आन्तरिक बसाईसराई, तथा जुन्हे चाहना/ राजनैतिक इच्छाशक्तिको विश्लेषण गरी वस्ती विस्तार क्षेत्रहरूको पहिचान गरी बसोबास क्षेत्र सुधार वा जग्गा एकीकरण आयोजनाको सम्भाव्यता अध्ययन गर्ने ।
- सबै भन्दा संभाव्य एक स्थानमा जग्गा एकीकरण आयोजना संचालन गर्न नगर विकास ऐन,
   २०४५ को प्रकृया सिहत आयोजना स्वीकृतिको लागि व्लक प्लान पेश गर्ने ।

#### ४. कार्यविधि तथा प्रतिवेदन तयारी

जग्गा एकीकरण आयोजना सञ्चालन पहिलो चरण (संभाव्यता अध्ययन, आयोजना सञ्चालनको निर्णय र आयोजना स्वीकृतिको प्रस्ताव पेश गर्ने) कार्यको कार्यक्षेत्र, अध्ययन विधि र प्रतिवेदनले समेटनु पर्ने विषयहरू देहाय अनुसार छन् ।

#### क) प्रारम्भिक प्रतिवेदन (संझौता भएको दुई हप्ता)

- आयोजनाको बुझाई, पूर्व अध्ययन र नीति/ मापदण्डको अध्ययन
- अध्ययनको विधी
- विस्तृत कार्य योजना
- प्रतिवेदनहरूको ढाँचा

#### ख) पूर्व संभाव्यता अध्ययन सहितको फिल्ड प्रतिवेदन (२ महिना- पहिलो किस्ता ३०%)

- उल्लेखित गाउँपालिकाको विकास उप-क्षेत्रीय अवस्थिति, केन्द्र/ उपकेन्द्र (primary, secondary & tertiary nodes), प्रशासनिक सीमाना, र प्रभाव क्षेत्रको (influence zone) पहिचान.
- विद्यमान बस्तीहरु/ सम्पदा बस्तीहरुको मौलिक स्वरुपको पहिचान
- बस्ती विस्तार क्षेत्रहरूको पहिचान, भूगोलको उपयुक्तता, भूमिको वर्गीकरण र विद्यमान सेवा सुविधाको उपलब्धताको अध्ययन गर्ने,
- सरोकारवालाहरूको पिहचान र छलफल गरी, जन चाहना/ राजनैतिक इच्छाशक्तिको विष्लेषण गर्ने,
- जग्गा विकास कार्यक्रम बारे अभिमुखिकरण र अन्तर्क्रिया गरी जनचेतना बृद्धि गर्ने,
- जग्गा विकास गर्न सिकने क्षेत्र/ ब्लकहरूको उपलब्ध नक्शा/ गुगल म्यापमा अंकित गर्ने, क्षेत्रफल, अपेक्षित जनसंख्या/ जनघनत्वको विवेचना गर्ने,
- सम्बन्धित नगरपालिका वा नगर विकास समितिबाट कार्य अगाडि बढाउन अनुरोध, आदि ।

#### ग) मस्यौदा प्रतिवेदन (४ महिना- दोश्रो किस्ता ४०%)

#### विद्यमान बस्तीहरुको सुधार योजना तर्जुमा सम्बन्धी

• पालिका भित्रका मुख्य मुख्य ऐतिहासिक/ सांस्कृतिक सम्पदा वस्ती, निर्मित वातावरण, परम्परागत/ स्थानीय वास्तुकला, र मौलिक निर्माण प्रविधिको अभिलेखीकरण



• मुख्य मुख्य भूउपयोग क्षेत्रहरू निर्धारण, रणैतिक पूर्वाधारको अवस्थिति, र विकास केन्द्र उपकेन्द्र (development nodes-primary/secondary/tertiary)हरू यकीन सहित जनसहभागितात्मक तवरले पालिकाको अवधारणात्मक भौतिक विकास योजना तर्जुमा

#### जग्गा एकीकरण आयोजनाको संभाव्यता अध्ययन सहितको प्रतिवेदन

- पूर्व संभाव्यता अध्ययनले इंगित गरेको क्षेत्रहरूको नापी नक्शा र जग्गाको विवरणहरू संकलन गर्ने,
- जग्गा धनीको लिखित सहमति संकलन (कम्तिमा ५१%) गर्ने,
- विभिन्न बैंकल्पिक स्थल/ ब्लक (किन्तमा ३ वटा फरक फरक स्थल) को चार किल्ला निर्धारण गर्ने.
- स्थानीय पालिकासँग समन्वय गरी आयोजना सञ्चालन गर्न सहमित प्राप्त गर्ने,
- आयोजना सञ्चालनको संस्थागत पक्ष (कुन निकायबाट जग्गा विकास कार्यकर्म सञ्चालन गर्ने हो) को अध्ययन गर्ने.
- स्थानीय वास्तुकला र निर्मित वातावरणको अध्ययन गरी छनौट भएका क्षेत्रहरूको नापी नक्शा
  र गुगल ओभरलेमा आधारित कम्तिमा ३/ ३ वटा वैकल्पिक ब्लक प्लान तयार गर्ने, र
  जग्गाधनीहरूसँग छलफल गर्ने,
- प्रचलित मापदण्ड र कार्यविधिको अधिनमा रहि आयोजना क्षेत्रभित्र खुला क्षेत्र, सामुदायिक उपयोगिताको क्षेत्र, रिजर्भ ब्लक, सामाजिक आवास क्षेत्र, सडक, पैदलमार्गहरूको अवस्थिती एकीन गर्ने) । यसरी योजना बनाउँदा सडक/ पैदल मार्ग- १४-२०%, खुला क्षेत्र- ५%, सामुदायिक उपयोगिताको क्षेत्र २-३%, सामाजिक आवास क्षेत्र २-३%, आयोजनाको पूर्वाधार विकास वा उच्च स्तरको शहरी पूर्वाधार निर्माणका लागि रिजर्ब बल्क ७-१०%, मा नघटने गरी (न्यूनतम १८% देखि अधिकतम ५०% र औसतमा ३५% ननाघ्ने गरी) जग्गा योगदानलाई समन्यायिक बनाउने ।
- आयोजना क्षेत्रमा पिहले नै सार्वजिनक सडक तथा बाटोहरू भएमा जग्गा योगदान घट्न सक्ने कुरामा जग्गा धनीहरूलाई सुसूचीत गराउने । तर अन्य सरकारी र सार्वजिनक जग्गा साबिकको भन्दा गुणस्तर र क्षेत्रफल नघट्ने गरी संरक्षण हुनु पर्ने विषय प्रष्ट पार्ने ।
- उक्त क्षेत्रहरूमा विद्यमान सेवा पूर्वाधार र सार्वजनिक जग्गाको अध्ययन गरी नयाँ प्लानिङ्गको लागि थप निर्माण गर्नु पर्ने सेवा पूर्वाधारहरूको लागि चाहिने जग्गा र लाग्ने प्रारम्भिक लागतको अनुमान गर्ने, जग्गा धनीहरूको औसत जग्गा योगदान लेखाजोखा गर्ने ।
- उक्त ब्लकहरूको डिजाईनको उपयुक्तता, भौतिक तथा वातावरणीय, सामाजिक तथा सांस्कृतिक र आर्थिक तथा वित्तीय पक्षको तुलनात्मक विष्लेषण सहित सबै भन्दा उपयुक्त



देखिएको क्षेत्र/ ब्लकहरूमा जग्गा विकास कार्यक्रम अगाडि बढाउन शिफारिस गर्ने, अभी

#### घ) अन्तिम प्रतिवेदन (९ महिना- तेश्रो किस्ता ३०%)

#### विद्यमान बस्तीहरुको सुधार योजना तर्जुमा प्रतिवेदन

- भौतिक, सामाजिक, आर्थिक विकास तथा विपद् व्यवस्थापन योजना सहितको बस्ति विकास योजना
- स्थानीय सम्पदाको संरक्षण र संबर्धन समेत हुने गरी बसोबास क्षेत्र/ उपक्षेत्रहरूको योजना,
   वास्तुकला तथा निर्माण सम्बन्धी मापदण्ड तर्जुमा तथा प्रभावकारी कार्यान्वयनका लागि
   उत्प्रेरणा र नियन्त्रणका विभिन्न उपायहरू

#### जग्गा एकीकरण आयोजनाको लागि आयोजना स्वीकृतिको सिफारिस सहितको प्रतिवेदन

- संभाव्यता अध्ययनमा उपयुक्त देखिएको स्थानमा जग्गा एकीकरण आयोजना सञ्चालन गर्ने कार्य अगाडि बढाउन नगर विकास समितिको बैठक बसालेर योजना क्षेत्र (वा ब्लकहरू) को चार किल्ला निर्धारण गरी जग्गा विकास गर्ने निर्णय, उक्त चार किल्ला भित्रको जग्गा हक हस्तान्तरण र भौतिक निर्माण गर्न नपाईने गरी रोक्का गर्न सम्बन्धित निकायमा लेखि पठाउने
- त्यसैगरी, नगर विकास सिमितिको निर्णयबाट उक्त आयोजना सञ्चालनको निकाय तोक्ने, साथै,
   सम्बन्धित जग्गा धनीहरूको आमभेलाबाट उपभोक्ता सिमिति गठन गरी नगर विकास
   सिमितिलाई सिफारिस गरि पठाउन सम्बन्धित स्थानीय तहलाई अनुरोध गर्ने ।
- नगर विकास समितिको निर्णय अनुसार सम्बन्धित जिल्लाको भूमि व्यवस्था सम्बन्धी निकायले आयोजना क्षेत्रको जग्गाहरूको कित्ता काट एवं हक हस्तान्तरण नहुने गरी रोक्का गर्ने ।
- आयोजना सञ्चालन सम्बन्धमा नगर विकास समितिले नियमानुसार सार्वजनिक सूचना प्रकाशन गर्ने (कुनै जग्गा धनीको जग्गाको विवरण अध्यावधिक गर्नु पर्ने भए वा कुनै गुनासो भए सो उपलब्ध गराउन कम्तिमा ३५ दिनको म्याद तोकेर जानकारी गराउने, सो अवधिमा अध्यावधिक विवरण वा गुनासो नरहे मौजुदा जग्गाधनी पुर्जाको विवरणको आधारमा कार्य अगाडि बढाईने स्पष्ट व्यहोरा खुलाउने समेत) ।
- उपभोक्ता समितिको चरणबद्ध बैठक बसी संस्थाको विधान तर्जुमा, जग्गा फिर्ता गर्ने नीति तर्जुमा, जग्गाको अध्याविधक लगत संकलन, जग्गा धनीहरूलाई पुनः सुसूचीत गर्ने/ गराउने ।
- छनौट भएको विकल्पको स्थानको वेन्चमार्किङ र सीमाङ्गन सर्वेक्षण (Boundary Survey) गरी भेरीफिकेशन सहित परिमार्जित बल्क प्लान, लग सिट, जग्गा फिर्ता नीति सहितको प्रतिवेदन स्वीकृतिका लागि पेश गर्ने ।



- नगर विकास समितिको सिफारिस सहित योजना स्वीकृतिको लागि विभाग/ मन्त्रालयमाः पृष्ट् गर्ने।
- योजना स्वीकृतिको ऋममा आवश्यकता अनुसार प्रश्तुतिकरणमा भाग लिने ।

#### ५. अपेक्षित उपलब्धी

उल्लिखित गाउँपालिकाको विद्यमान बस्तीहरूको मौलिक पहिचानको संरक्षण र पुनरुत्थान योजना, नयाँ विस्तारित क्षेत्रमा जग्गा विकास योजना, आर्थिक विकास र भू उपयोग समेटने भौतिक विकास योजना, एवं व्यवस्थित बसोबासका लागि आवश्यक योजना तथा निर्माण मापदण्ड तर्जुमा भएको हुने ।

#### ६. अध्ययन टोली

जग्गा एकीकरण आयोजनाको सम्भाव्यता अध्ययन लगायत आयोजना स्वीकृतिको सिफारिस सहितको अन्तिम प्रतिवेदन तयारी अध्ययन सहितको प्रतिवेदन तयारीका लागि निम्न अनुसारका विज्ञहरू रहनेछन्।

| ऋ.सं. | विज्ञ           | संख्या | अवधि, | योग्यता  |
|-------|-----------------|--------|-------|--|
|       |                 |        | महिना |  |
| ٩     | भूगोलविद्/      | ٩      | w     | भूगोल, शहरी/ क्षेत्रीय योजना वा सिभिल इन्जिनियरिंग           |
|       | शहरी/ क्षेत्रीय |        |       | विषयमा स्नातकोत्तर गरी शहरी/ क्षेत्रीय योजनाको क्षेत्रमा     |
|       | योजनाकार        |        |       | कम्तीमा १५ वर्षको साथै नीति तर्जुमा वा कार्यान्वयन तहको      |
|       |                 |        |       | कम्तीमा ५ वर्षको कार्य अनुभव भएको।                           |
| २     | समाजशास्त्री    | ٩      | 8     | समाजशास्त्र विषयमा स्नातकोत्तर गरी शहरी/ पूर्वाधार क्षेत्रमा |
|       |                 |        |       | कम्तीमा ५ वर्षको व्यावसायिक अनुभव भएको।                      |
| n     | आर्किटेक्ट      | ٩      | ሂ     | आर्किटेक्चर विषयमा स्नातक गरी कम्तीमा ५ वर्षको               |
|       |                 |        |       | व्यावसायिक अनुभव भएको।                                       |
| γ     | सिभिल           | ٩      | २     | सिभिल इन्जिनियरिंग विषयमा स्नातक गरी कम्तीमा ५               |
|       | इन्जिनियर       |        |       | वर्षको व्यावसायिक अनुभव भएको।                                |
| X     | जियोम्याटिक्स   | ٩      | γ     | जियोम्याटिक्स इन्जिनियरिंगम विषयमा स्नातक गरी कम्तीमा        |
|       | इन्जिनियर⁄      |        |       | ३ वर्षको व्यावसायिक अनुभव भएको वा इन्जिनियरिङ्ग/सर्भे        |
|       | सिनियर सर्भेयर  |        |       | विषयमा डिप्लोमा गरी कम्तिमा ६ महिनाको सर्भे तालिम            |
|       |                 |        |       | लिई कम्तीमा ३ वर्षको व्यावसायिक अनुभव भएको।                  |

उक्त अध्ययन टोलीमा आवश्यकतानुसार अन्य सहयोगी व्यक्तिहरु परामर्शदाताले आफ्नै खर्चमा समावेश गर्न सक्नेछ।



#### ७. अध्ययनको समय अवधि

यस अध्ययन कार्यको अवधि ९ महिना हुनेछ ।

#### ८. भुक्तानीको ढाँचा

प्रारम्भिक र मस्यौदा प्रतिवेदन पेश भए पछि र अन्तिम प्रतिवेदन आयोजनाबाट स्वीकृत भएपछि प्रचलित कानून बमोजिम स्रोतमै कर तथा शुल्क कट्टा गरी निम्न तीन किस्तामा भुक्तानी गरिनेछ ।

| किस्ता           | सम्झौता पछिको<br>अवधि   | भुक्तानी प्रतिशत | पेश गर्नुपर्ने प्रतिवेदन  |
|------------------|-------------------------|------------------|---|
| प्रथम<br>किस्ता  | सम्झौता भएको २<br>महिना | 30               | पालिकाको वस्ती विकास योजनाको खाका सहित<br>जग्गा एकीकरणको पूर्वसम्भाव्यता अध्ययन<br>सहितको फिल्ड प्रतिवेदन                     |
| दोस्रो<br>किस्ता | सम्झौता भएको ४<br>महिना | γo               | विद्यमान सम्पदा बस्तीहरुको अभिलेखिकरण र<br>भौतिक विकास योजना तथा जग्गा एकीकरणको<br>सम्भाव्यता अध्ययन सहितको मस्यौदा प्रतिवेदन |
| अन्तिम<br>किस्ता | सम्झौता भएको ९<br>महिना | 30               | विद्यमान बस्तीहरूको सुधार योजना तथा जग्गा<br>एकीकरण आयोजना स्वीकृतिको सिफारिस<br>सहितको अन्तिम प्रतिवेदन                      |

#### ९. संझौता तथा सम्पर्क ठेगाना

यस कार्यको संझौता नयाँ शहर आयोजनाको आयोजना प्रमुखले गर्ने छ । आयोजना कार्यालयको सम्पर्क ठेगाना निम्नानुसार रहेको छ ।

#### नयाँ शहर आयोजना समन्वय कार्यालय

शहरी विकास तथा भवन निर्माण विभाग परिसर, बबरमहल. काठमाडौं

ईमेलः newtownpl@gmail.com

फोन नं. ०९७७-०१-४२६२४९६





#### **PART II**

## Section 8. Conditions of Contract and Contract Forms Foreword

- 1. Part II includes standard Contract forms for Consulting Services (a Lump-Sum Contract).
- 2. Lump-Sum Contract: This type of contract is used mainly for assignments in which the scope and the duration of the Services and the required output of the Consultant are clearly defined. Payments are linked to outputs (deliverables) such as reports, drawings, bill of quantities, bidding documents, or software programs. Lump-sum contracts are easier to administer because they operate on the principle of a fixed price for a fixed scope, and payments are due on clearly specified outputs and milestones. Nevertheless, quality control of the Consultant's outputs by the Client is paramount.



#### STANDARD FORM OF CONTRACT

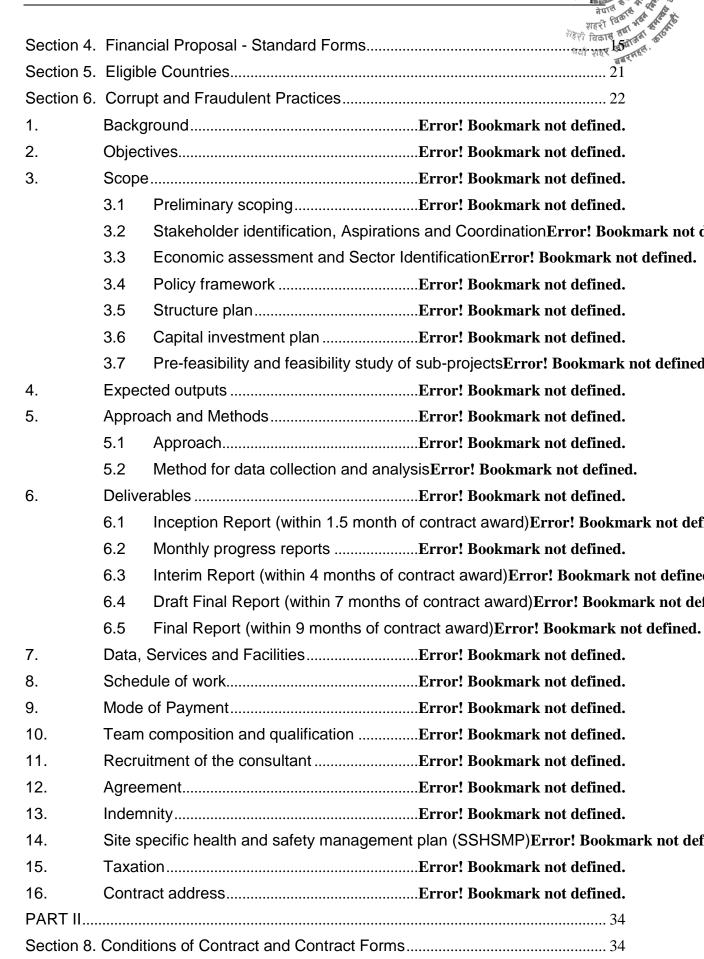
## Consultant's Services

LUMP-SUM FORM OF CONTRACT



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#### **Preface**

- 1. The standard Contract form consists of four parts: the Form of Contract to be signed by the Client and the Consultant, the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC); and the Appendices.
- 2. The General Conditions of Contract shall not be modified. The Special Conditions of Contract that contain clauses specific to each Contract intend to supplement, but not overwrite or otherwise contradict, the General Conditions.



#### **CONTRACT FOR CONSULTANT'S SERVICES**

#### Lump-Sum

| Project Name             |  |  |
|--------------------------|--|--|
|                          |  |  |
| Contract No.             |  |  |
| Between                  |  |  |
|                          |  |  |
|                          |  |  |
| [Name of the Client]     |  |  |
|                          |  |  |
| and                      |  |  |
|                          |  |  |
|                          |  |  |
|                          |  |  |
| [Name of the Consultant] |  |  |
|                          |  |  |
|                          |  |  |
|                          |  |  |
| Dated:                   |  |  |



#### I. Form of Contract

#### **LUMP-SUM**

(Text in brackets [] is optional; all notes should be deleted in the final text)

This CONTRACT (hereinafter called the "Contract") is made the [number] day of the month of [month], [year], between, on the one hand, [name of Client] (hereinafter called the "Client") and, on the other hand, [name of Consultant] (hereinafter called the "Consultant").

[Note: If the Consultant consist of more than one entity, the above should be partially amended to read as follows: "...(hereinafter called the "Client") and, on the other hand, a Joint Venture (name of the JV) consisting of the following entities, each member of which will be jointly and severally liable to the Client for all the Consultant's obligations under this Contract, namely, [name of member] and [name of member] (hereinafter called the "Consultant").]

#### **WHEREAS**

- (a) the Client has requested the Consultant to provide certain consulting services as defined in this Contract (hereinafter called the "Services");
- (b) the Consultant, having represented to the Client that it has the required professional skills, expertise and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract;
- (c) the Client has received [or has applied for] a loan [or grant or financing] from theDonor Agency: toward the cost of the Services and intends to apply a portion of the proceeds of this [loan/grant/financing] to eligible payments under this Contract, it being understood that (i) payments by the Donor will be made only at the request of the Client and upon approval by the Donor; (ii) such payments will be subject, in all respects, to the terms and conditions of the [loan/grant/financing] agreement, including prohibitions of withdrawal from the [loan/grant/financing] account for the purpose of any payment to persons or entities, or for any import of goods, if such payment or import, to the knowledge of the Donor, is prohibited by the decision of the United Nations Security council taken under Chapter VII of the Charter of the United Nations J; and (iii) no party other than the Client shall derive any rights from the [loan/grant/financing] agreement or have any claim to the [loan/grant/financing] proceeds;

[Note: Include Clause (c) only in case of donor-funded projects.]

NOW THEREFORE the parties hereto hereby agree as follows:

- 1. The following documents attached hereto shall be deemed to form an integral part of this Contract:
  - (a) The General Conditions of Contract;
  - (b) The Special Conditions of Contract:



(c) Appendices: [Note: If any of these Appendices are not used, the words Note: Used" should be inserted next to the title of the Appendix and on the sheet attached hereto carrying the title of that Appendix.]

Appendix A: Terms of Reference

Appendix B: Key Experts

Appendix C: Breakdown of Contract Price

Appendix D: Form of Advance Payments Guarantee [Use only for donor-

funded project only. Specify "Not Applicable" for GoN funded

projects]

Appendix E: Medical Certificate

Appendix F: Minutes of Negotiation Meetings

In the event of any inconsistency between the documents, the following order of precedence shall prevail: the Special Conditions of Contract; the General Conditions of Contract; Appendix A; Appendix B; Appendix C; Appendix D; Appendix E and Appendix F.

Any reference to this Contract shall include, where the context permits, a reference to its Appendices.

- 2. The mutual rights and obligations of the Client and the Consultant shall be as set forth in the Contract, in particular:
  - (a) the Consultant shall carry out the Services in accordance with the provisions of the Contract; and
  - (b) the Client shall make payments to the Consultant in accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of [Name of Client]

[Authorized Representative of the Client – name, title and signature]

For and on behalf of [Name of Consultant or Name of a Joint Venture]

[Authorized Representative of the Consultant – name and signature]

[**Note**: If the Consultants consist of more than one entity, all these entities should appear as signatories, e.g., in the following manner].

For and on behalf of each of the members of the Consultant

[Name of member]

| 1.Form of Contract                     | Lump-Sum                                     |
|--|--|
| [Authorized Representative]            | नेपाल के |
| [Name of member]                       | age.   |
| [Authorized Representative]            |  |
| [add signature blocks for each member] |  |



#### II. General Conditions of Contract

#### A. GENERAL PROVISIONS

#### 1. Definitions

- 1.1. Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
- (a) "Applicable Guidelines" means the policies of the Development Partner (DP) governing the selection and Contract award process, in case of DP funded project.
- (b) "Applicable Law" means the laws and any other instruments having the force of law in Nepal as they may be issued and in force from time to time.
- (c) "Borrower [or Recipient or Beneficiary]" means the Government, Government agency or other entity that signs the financing [or loan/grant/project] agreement with the Development Partner.
- (d) "Client" means [procuring entity/the implementing/ executing] agency that signs the Contract for the Services with the Selected Consultant.
- (e) "Consultant" means a legally-established professional consulting firm or entity selected by the Client to provide the Services under the signed Contract.
- (f) "Contract" means the legally binding written agreement signed between the Client and the Consultant and which includes all the attached documents listed in its paragraph 1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
- (g) "Day" means a working day unless indicated otherwise.
- (h) "Development Partner (DP)" means the country/institution funding the project **as specified in the SCC**.
- (i) "Effective Date" means the date on which this Contract comes into force and effect pursuant to Clause GCC 11.
- (j) "Experts" means, collectively, Key Experts, Non-Key Experts or any other personnel of the Consultant, Sub-consultant or JV member(s) assigned by the Consultant to perform the Services or any part thereof under the Contract.
- (k) "Foreign Currency" means any currency other than the currency of the Client's country.
- (I) "GCC" means these General Conditions of Contract.



- (m) "Government" means the government of Nepal (GoN). अविकास कर्मा
- (n) "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- (o) "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken into account in the technical evaluation of the Consultant's proposal.
- (p) "Local Currency" means the currency of Nepal (NPR).
- (q) Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant to perform the Services or any part thereof under the Contract.
- (r) "Party" means the Client or the Consultant, as the case may be, and "Parties" means both of them.
- (s) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
- (t) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
- (u) "Sub-consultants" means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution of the Contract.
- (v) "Third Party" means any person or entity other than the Government, the Client, the Consultant or a Sub-consultant.

## 2. Relationship between the Parties

2.1. Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between the Client and the Consultant. The Consultant, subject to this Contract, has complete charge of the Experts and Subconsultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

### 3. Law Governing Contract

- 3.1. This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law of Nepal.
- 4. Language
- 4.1. This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

- 5. Headings
- 5.1. The headings shall not limit, alter or affect the meaning of this Contract.
- 6. Communications
- 6.1. Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the language specified in Clause GCC 4. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the **SCC**.
- 6.2. A Party may change its address for notice hereunder by giving the other Party any communication of such change to the address specified in the **SCC**.
- 7. Location
- 7.1. The Services shall be performed at such locations as are specified in **Appendix A** hereto and, where the location of a particular task is not so specified, at such locations, whether in the Government's country or elsewhere, as the Client may approve.
- 8. Authority of Member in Charge
- 8.1. In case the Consultant is a Joint Venture, the members hereby authorize the member specified in the **SCC** to act on their behalf in exercising all the Consultant's rights and obligations towards the Client under this Contract, including without limitation the receiving of instructions and payments from the Client.
- 9. Authorized Representatives
- 9.1. Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Client or the Consultant may be taken or executed by the officials specified in the **SCC**.
- 10. Corrupt and Fraudulent Practices
- 10.1 The GoN/DP requires compliance with its policy in regard to corrupt and fraudulent/prohibited practices as set forth in **Attachment 1** to the GCC.
- a. Commissions and Fees
- 10.2 The Client requires the Consultant to disclose any commissions, gratuities or fees that may have been paid or are to be paid to agents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent or the other party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions and gratuities may result in termination of the Contract.

#### B. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT

### 11. Effectiveness of Contract

11.1. This Contract shall come into force and effect on the date (the "Effective Date") of the Client's notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in



the SCC have been met.

# 12. Termination of Contract for Failure to Become Effective

12.1. If this Contract has not become effective within such time period after the date of Contract signature as specified in the **SCC**, either Party may, by not less than thirty (30) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.

### 13. Commencement of Services

13.1. The Consultant shall confirm availability of Key Experts and begin carrying out the Services not later than the number of days after the Effective Date specified in the **SCC**.

### 14. Expiration of Contract

14.1. Unless terminated earlier pursuant to Clause GCC 19 hereof, this Contract shall expire at the end of such time period after the Effective Date as **specified in the SCC** or such other time period as the Parties may agree in writing.

#### 15. Entire Agreement

15.1. This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.

### 16. Modifications or Variations

16.1. Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.

#### 17. Force Majeure

#### a. Definition

- 17.1. For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action, confiscation or any other action by Government agencies.
- 17.2. Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract, and avoid or overcome in the carrying out of its obligations hereunder.
- 17.3. Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.

### b. No Breach of Contract

17.4. The failure of a Party to fulfill any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

### c. Measures to be Taken

17.5. A Party affected by an event of Force Majeure shall take all reasonable measures to remove such Party's inability to fulfill its obligations hereunder with a minimum of delay.

- 17.6. A Party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.
- 17.7. A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fifteen (15) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.

### d Extension of Time (EoT)

- 17.8. Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.
- 17.9. During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by the Client, shall either:
- (a) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Client, in reactivating the Services; or
- (b) continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.
- 17.10. The Consultant shall submit an application to the Client for extension of time, stating the causes for delay with supporting evidence within 7 days before the expiry of the Contract completion date. The approval of EoT shall be subject to verification by the Client whether:
  - (a) the consultant had made the best possible efforts to complete the work in due time ,

- e contracturate acceptance
- (b) the facilities to be provided by the Client as per the contract to the Consultant was made in time or not,
- (c) the delay was as a result of Force Majeure or not.

#### 18. Suspension

18.1. The Client may, by written notice of suspension to the Consultant, suspend all payments to the Consultant hereunder if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultant to remedy such failure within a period not exceeding thirty (30) calendar days after receipt by the Consultant of such notice of suspension.

#### 19. Termination

19. 1 This Contract may be terminated by either Party as per provisions set up below:

#### a. By the Client

- 19.1.1 The Client may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (e) of this Clause. In such an occurrence the Client shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); and at least sixty (60) calendar days' written notice in case of the event referred to in (e):
- (a) If the Consultant fails to remedy a failure in the performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause GCC 18 hereinabove, within thirty (30) days of receipt of such notice of suspension or within such further period as the Client may have subsequently approved in writing;
- (b) If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
- (c) If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 48.1;
- (d) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
- (e) If the Client, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
- (f) If the Consultant fails to furnish the professional liability insurance within 30 days from the date of signing of the contract agreement.

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19.1.2 Furthermore, if the Client determines that the Consultant has engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practices, in competing for or in executing the Contract, then the Client may, after giving fifteen (15) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

### b. By the Consultant

- 19.1.3 The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to the Client, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.
- (a) If the Client fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clauses GCC 48.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
- (b) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- (c) If the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 48.1.
- (d) If the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Client of the Consultant's notice specifying such breach.

#### c. Cessation of Rights and Obligations

19.1.4 Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC 14, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC 23, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GCC 26, and (iv) any right which a Party may have under the Applicable Law.

### d. Cessation of Services

19.1.5 Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Client, the Consultant shall proceed as provided, respectively,



by Clauses GCC 28 or GCC 29.

## e. Payment upon Termination

- 19.1.6 Upon termination of this Contract, the Client shall make the following payments to the Consultant:
- (a) payment for Services satisfactorily performed prior to the effective date of termination; and
- (b) in the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

#### C. OBLIGATIONS OF THE CONSULTANT

#### 20. General

### a. Standard of Performance

- 20.1 The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with the third parties.
- 20.2 The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.
- 20.3 The Consultant may subcontract part of the Services to an extent and with such Key Experts and Sub-consultants as may be approved in advance by the Client. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.

## b. Law Applicable to Services

- 20.4 The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.
- 20.5 Throughout the execution of the Contract, the Consultant shall comply with the import of goods and services prohibitions in the Client's country when
  - (a) as a matter of law or official regulations, Client's country prohibits commercial relations with that country; or
  - (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the

Charter of the United Nations, the Client's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.

20.6 The Client shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.

### 21. Conflict of Interests

- 21.1 The Consultant shall hold the Client's interests paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests.
- a. Consultant
  Not to Benefit
  from
  Commissions,
  Discounts, etc.
- 21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 39 through 45) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC 21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations hereunder, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.
- 21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising the Client on the procurement of goods, works or services, the Consultant shall comply with any applicable procurement guidelines as per the prevailing Public Procurement Act and Regulations of the GoN(or of the Donors/funding agencies) and shall at all times exercise such responsibility in the best interest of the Client. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of the Client.
- b. Consultant and Affiliates Not to Engage in Certain Activities
- 21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub-consultants, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.
- c. Prohibition of Conflicting Activities
- 21.1.4 The Consultant shall not engage, and shall cause their Personnel as well as its Sub-consultants and their Personnel not to engage, either directly or indirectly, in any of the following activities:
- a. during the term of this Contract, any business or professional activities in Nepal which would conflict with the activities assigned to them under this Contract; and
- b. after the termination of this Contract, such other activities as may be specified in the SCC
- d. Strict Duty to
- 21.1.5 The Consultant has an obligation and shall ensure

#### Disclose Conflicting Activities

that its Personnel and Sub-consultants shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Client, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the termination of its Contract.

### 22. Conduct of Consultants

- 22.1 The Consultant shall be responsible to fulfill his obligations as per the requirement of the Contract Agreement, RFP documents and GoN's Procurement Act and Regulations.
- 22.2 The consultant shall not carry out or cause to carry out the following acts with an intention to influence the implementation of the procurement process or the contract agreement:
  - (i) give or propose improper inducement directly or indirectly,
  - (ii) distortion or misrepresentation of facts
  - (iii) engaging or being involved in corrupt or fraudulent practice
  - (iv) Interference in participation of other prospective consultants.
  - (v) coercion or threatening directly or indirectly to impair or harm, any party or the property of the party involved in the procurement proceedings,
  - (vi) collusive practice among consultants before or after submission of proposals for distribution of works among consultants or fixing artificial/uncompetitive proposal price with an intention to deprive the Client the benefit of open competitive proposal price.
  - (vii) contacting the Client with an intention to influence the Client with regards to the proposals or interference of any kind in examination and evaluation of the proposals during the period after opening of proposals up to then notification of award of contract

#### 23. Confidentiality

23.1 The Consultants, their Sub-consultants, and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary of confidential information relating to the Project, the Services, this Contract, or the Client's business or operations without the prior written consent of the Client.

### 24. Liability of the Consultant

24.1 Subject to additional provisions, if any, set forth in the **SCC**, the Consultant's liability under this Contract shall be as determined under the Applicable Law.

### 25. Insurance to be Taken out by the

25.1 The Consultant (i) shall take out and maintain, and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on

#### Consultant

terms and conditions approved by the Client, insurance against the risks, and for the coverage specified in the **SCC**, and (ii) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC 13.

25.2 The Consultant shall take out and maintain professional liability insurance within 30 days of signing of the contract agreement.

#### 26. Accounting, Inspection and Auditing

- 26.1 The Consultant shall keep, and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.
- 26.2. The Consultant shall permit and shall cause its Subconsultants to permit, the Client/DP and/or persons appointed by the Client/DP to inspect the Site and/or all accounts and records relating to the performance of the Contract and the submission of the Proposal to provide the Services, and to have such accounts and records audited by auditors appointed by the Client/DP if requested by the Client/DP. The Consultant's attention is drawn to Clause GCC 10 which provides, inter alia, that acts intended to materially impede the exercise of the Client/DP's inspection and audit rights provided for under this Clause GCC26.2 constitute a prohibited practice subject to contract termination.

### 27. Reporting Obligations

27.1 The Consultant shall submit to the Client the reports and documents specified in **Appendix A**, in the form, in the numbers and within the time periods set forth in the said Appendix.

# 28. Proprietary Rights of the Client in Reports and Records

- 28.1 Unless otherwise indicated in the **SCC**, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for the Client in the course of the Services shall be confidential and become and remain the absolute property of the Client. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Client, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of the Client.
- 28.2 If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain the Client's prior written approval to such agreements, and the Client shall be entitled at its

discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shall be specified in the **SCC**.

#### 29. Equipment, Vehicles and Materials

- 29.1 Equipment, vehicles and materials made available to the Consultant by the Client, or purchased by the Consultant wholly or partly with funds provided by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to the Client an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with the Client's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by the Client in writing, shall insure them at the expense of the Client in an amount equal to their full replacement value.
- 29.2 Any equipment or materials brought by the Consultant or its Experts into the Client's country for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

#### D. CONSULTANT'S EXPERTS AND SUB-CONSULTANTS

### 30. Description of Key Experts

- 30.1 The title, agreed job description, minimum qualification and time-input estimates to carry out the Services of each of the Consultant's Key Experts are described in **Appendix B.**
- 31. Replacement of Key Experts
- 31.1 Except as the Client may otherwise agree in writing, no changes shall be made in the Key Experts.
- 31.2 Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

### 32. Removal of Experts or Sub-consultants

- 32.1 If the Client finds that any of the Experts or Sub-consultant has committed serious misconduct or has been charged with having committed a criminal action, or shall the Client determine that Consultant's Expert of Sub-consultant have engaged in corrupt, fraudulent, collusive, coercive [or obstructive] practice while performing the Services, the Consultant shall, at the Client's written request, provide a replacement.
- 32.2 In the event that any of Key Experts or Sub-consultants is found by the Client to be incompetent or incapable in discharging assigned duties, the Client, specifying the grounds therefore, may



request the Consultant to provide a replacement.

- 32.3 Any replacement of the removed Experts or Sub-consultants shall possess better qualifications and experience and shall be acceptable to the Client.
- 32.4 The Consultant shall bear all costs arising out of or incidental to any removal and/or replacement of such Experts.

#### **E. OBLIGATIONS OF THE CLIENT**

### 33. Assistance and Exemptions

- 33.1 Unless otherwise specified in the **SCC**, the Client shall use its best efforts to:
- (a) Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
- (b) Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in the Client's country while carrying out the Services under the Contract.
- (c) Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Experts and their eligible dependents.
- (d) Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
- (e) Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in the Client's country according to the applicable law in the Client's country.
- (f) Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in the Client's country, of bringing into the Client's country reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.
- (g) Provide to the Consultant any such other assistance as may be specified in the **SCC**.

#### 34. Access to Project

34.1 The Client warrants that the Consultant shall have, free of

#### Site

charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. The Client will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage, unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Experts of either of them

- 35. Change in the Applicable Law Related to Taxes and Duties
- 35.1 If, after the date of this Contract, there is any change in the applicable law in the Client's country with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the ceiling amounts specified in Clause GCC 39.1.
- 36. Services, Facilities and Property of the Client
- 36.1 The Client shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (Appendix A) at the times and in the manner specified in said Appendix A.
- 37. Counterpart Personnel
- 37.1 The Client shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by the Client with the Consultant's advice, if specified in **Appendix A**.
- 37.2 If counterpart personnel are not provided by the Client to the Consultant as and when specified in **Appendix A**, the Client and the Consultant shall agree on (i) how the affected part of the Services shall be carried out, and (ii) the additional payments, if any, to be made by the Client to the Consultant as a result thereof pursuant to Clause GCC 39.2
- 37.3 Professional and support counterpart personnel, excluding Client's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to perform adequately any work assigned to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and the Client shall not unreasonably refuse to act upon such request.
- 38. Payment Obligation
- 38.1 In consideration of the Services performed by the Consultant under this Contract, the Client shall make such payments to the Consultant for the deliverables specified in **Appendix A** and in such manner as is provided by GCC F below.



#### F. PAYMENTS TO THE CONSULTANT

#### 39. Contract Price

- 39.1 The Contract price is fixed and is set forth in the **SCC.** The Contract price breakdown is provided in **Appendix C**.
- 39.2 Any change to the Contract price specified in Clause 39.1 can be made only if the Parties have agreed to the revised scope of Services pursuant to Clause GCC 16 and have amended in writing the Terms of Reference in **Appendix A**.

#### 40. Taxes and Duties

- 40.1 The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract.
- 41. Currency of Payment
- 41.1 Any payment under this Contract shall be made in the currency (ies) specified in the **SCC**.

### 42. Mode of Billing and Payment

- 42.1 The total payments under this Contract shall not exceed the Contract price set forth in Clause GCC 39.1.
- 42.2 The payments under this Contract shall be made in lumpsum installments against deliverables specified in **Appendix A**. The payments will be made according to the payment schedule stated in the **SCC**.
  - 42.2.1 <u>Advance payment:</u> Unless otherwise indicated in the **SCC**, an advance payment shall be made against an advance payment bank guarantee acceptable to the Client in an amount (or amounts) and in a currency (or currencies) specified in the **SCC**. Such guarantee (i) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in **Appendix D**, or in such other form as the Client shall have approved in writing. The advance payments will be set off by the Client in equal portions against the lump-sum installments specified in the **SCC** until said advance payments have been fully set off.
  - 42.2.2 <u>The Lump-Sum Installment Payments.</u> The Client shall pay the Consultant within sixty (60) days after the receipt by the Client of the deliverable(s) and the cover invoice for the related lump-sum installment payment. The payment can be withheld if the Client does not approve the submitted deliverable(s) as satisfactory in which case the Client shall provide comments to the Consultant within the same sixty (60) days period. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.
  - 42.2.3 <u>The Final Payment</u>. The final payment under this Clause shall be made only after the final report I have been submitted by the Consultant and approved as satisfactory by the Client. The Services shall then be deemed completed and finally

accepted by the Client. The last lump-sum installment shall be deemed approved for payment by the Client within ninety (90) calendar days after receipt of the final report by the Client unless the Client, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

- 42.2.4 All payments under this Contract shall be made to the accounts of the Consultant specified in the **SCC**.
- 42.2.5 With the exception of the final payment under 41.2.3 above, payments do not constitute acceptance of the whole Services nor relieve the Consultant of any obligations hereunder.

#### 43. Retention

- **43.1.** The Client shall retain from each payment due to the Consultant the proportion **stated in the SCC** until Completion of the whole of the Works.
- **43.2.** One half the total amounts retained shall be repaid to the Consultant at the time of the payment of the Final Bill pursuant to GCC Clause 42.2.3 and the remaining half shall be paid to the consultant within 15 days after submission of document issued by the concerned Internal Revenue Office that the consultant has submitted his Income Returns

### 44. Interest on Delayed Payments

**44.1.** If the Client had delayed payments beyond fifteen (15) days after the due date stated in Clause GCC 42.2.2, interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in the SCC.

### 45. Liquidated Damages

**45.1.** The Consultant shall pay liquidated damages to the Client at the rate per day stated in the SCC for each day that the completion of services is later than the Completion Date. The total amount of liquidated damages shall not exceed the amount defined in the SCC. Beyond this limit the contract may be terminated by the Client. The Client may deduct liquidated damages from any payments due to the Consultant. Payment of liquidated damages shall not affect the Consultant's liabilities.

#### G. FAIRNESS AND GOOD FAITH

#### 46. Good Faith

46.1 The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.



#### H. SETTLEMENT OF DISPUTES

### 47. Amicable Settlement

47.1 The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or the interpretation thereof.

47.2 If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fifteen (15) days after receipt. If that Party fails to respond within fifteen (15) days, or the dispute cannot be amicably settled within fifteen (15) days following the response of that Party, Clause GCC 48.1 shall apply.

#### 48. Dispute Resolution

48.1 Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably within thirty (30) days after receipt by one party of the other Party's request for such amicable settlement may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the **SCC**.

#### I. BLACKLISTING

#### 49. Blacklisting

49.1 Without prejudice to any other right of the Client under this Contract, Public Procurement Monitoring Office may blacklist a Consultant for his conduct up to three years on the following grounds and seriousness of the act committed by the consultant.

- a) if it is proved that the consultant committed acts pursuant to GCC 22..2.
  - b) if the Consultant fails to sign an agreement pursuant to Information to Consultants Clause 29.3,
  - c) if it is proved later that the Consultant has committed substantial defect in implementation of the contract or has not substantially fulfilled his obligations under the contract or the completed work is not of the specified quality as per the contract.
  - d) if convicted by a court of law in a criminal offence which disqualifies the consultant from participating in the assignment.
  - e) if it is proved that the contract agreement signed by the Consultant was based on false or misrepresentation of consultant's qualification information,
  - f) if the consultant fails to submit the professional liability insurance within the period stipulated in the contract.

49.2 A Consultant declared blacklisted and ineligible by the Public procurement Office, and or concerned Donor Agency in case of donor funded project, shall be ineligible to participation the selection process during the period of time determined by the PPMO, and or the concerned donor agency.



### **III.** Special Conditions of Contract

[Notes in brackets are for guidance purposes only and should be deleted in the final text of the signed contract]

| Number of GC<br>Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract  |
|------------------------|---|
| 6.1 and 6.2            | The addresses are:  |
|                        | Client: Department of Urban Development and Building Construction, Babarmahal, Kathmandu.   |
|                        | Attention: Project Diorector,New Town Project co-ordination Office Facsimile: 01-4262496 E-mail: newtownp1@gmail.com Consultant:                                |
|                        | Attention : Facsimile : E-mail (where permitted) :  |
| 8.1                    | The Lead Member on behalf of the JV is  |
| 9.1                    | The Authorized Representatives are:   |
|                        | For the Client: [name, title]   |
|                        | For the Consultant: [name, title]   |
| 12.1                   | Termination of Contract for Failure to Become Effective:  |
|                        | The time period shall be 2 months   |
| 13.1                   | Commencement of Services:   |
|                        | The number of days shall be 7   |
|                        | Confirmation of Key Experts' availability to start the Assignment shall be submitted to the Client in writing as a written statement signed by each Key Expert. |
| 14.1                   | Expiration of Contract:   |
|                        | The time period shall be 6 months.  |

| The Client reserves the right to determine whether the Consultant should be disqualified from providing goods, works or non-consulting services due to a conflict of a nature described in Clause GCC 21.1.3  No additional provisions.   |
|---|
| ·   |
| IOB   |
| [OR   |
| "Limitation of the Consultant's Liability towards the Client:   |
| (a) Except in the case of gross negligence or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the Consultant, with respect to damage caused by the Consultant to the Client's property, shall not be liable to the Client:   |
| (i) for any indirect or consequential loss or damage; and   |
| (ii) for any direct loss or damage that exceeds (A) the total<br>payments for professional fees and reimbursable expenditures<br>made or expected to be made to the Consultants hereunder, or<br>(B) the proceeds the Consultants may be entitled to receive<br>from any insurance maintained by the Consultants to cover<br>such a liability, whichever of (A) or (B) is higher; |
| (b) This limitation of liability shall not  |
| <ul> <li>(i) affect the Consultant's liability, if any, for damage to Third<br/>Parties caused by the Consultant or any person or firm acting<br/>on behalf of the Consultant in carrying out the Services;</li> </ul>  |
| (ii) be construed as providing the Consultant with any limitation or<br>exclusion from liability which is prohibited by the Applicable<br>Law of the Client's country.  |
| The insurance coverage against the risks shall be as follows:   |
| (a) Professional liability insurance, with a minimum coverage of [insert amount and currency which should be not less than the total ceiling amount of the Contract];   |
| [The Consultant shall not use these documents and software for purposes unrelated to this Contract without the prior written approval of the Client.]   |
|   |

|        | WENT FROM THE   |
|--------|---|
| 39.1   | The Contract price is: [insert amount and currency for each currency] [indicate: inclusive or exclusive]of Value Added Tax (VAT).   |
|        | VAT chargeable in respect of this Contract for the Services provided by the Consultant shall [insert as appropriate: "be paid" or "reimbursed"] by the Client [insert as appropriate: "for" or "to"] the Consultant.  |
| 42.2   | The payment schedule:   |
|        | As per ToR  |
|        | [Note: Reimbursable amount shall be paid along with corresponding installment against the bill/receipts/invoices etc. as per prevalent rules and regulation. But, the maximum payable reimbursable amount shall not exceed the estimated amount for it. Total sum of all installments shall not exceed the Contract price set up in SCC 39.1.]                                      |
| 42.2.1 | The advance payment is not applicable.  |
| 42.2.4 | The accounts are:   |
|        | for local currency: Nrs   |
| 43.1   | The proportion of payments retained is: 5%  |
| 44.1   | The interest rate is: n/a   |
| 45.1   | The liquidated damage is: 0.05%per day. The maximum amount of liquidated damages is: 10% of the sum stated in the Agreement.  |
| 48.    | (a) Contracts with foreign consultants:   |
|        | Disputes shall be settled by arbitration in accordance with the following provisions:   |
|        | 1. <u>Selection of Arbitrators</u> . Each dispute submitted by a Party to arbitration shall be heard by a sole arbitrator or an arbitration panel composed of three (3) arbitrators, in accordance with the following provisions:   |
|        | (a) Where the Parties agree that the dispute concerns a<br>technical matter, they may agree to appoint a sole arbitrator<br>or, failing agreement on the identity of such sole arbitrator<br>within thirty (30) days after receipt by the other Party of the<br>proposal of a name for such an appointment by the Party<br>who initiated the proceedings, either Party may apply to |

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[name an appropriate international professional body, e.g. the Federation Internationale des Ingenieurs-Conseil (FIDIC) of Lausanne, Switzerland] for a list of not fewer than five (5) nominees and, on receipt of such list, the Parties shall alternately strike names therefrom, and the last remaining nominee on the list shall be the sole arbitrator for the matter in dispute. If the last remaining nominee has not been determined in this manner within sixty (60) days of the date of the list, [insert the name of the same professional body as above] shall appoint, upon the request of either Party and from such list or otherwise, a sole arbitrator for the matter in dispute.

- (b) Where the Parties do not agree that the dispute concerns a technical matter, the Client and the Consultant shall each appoint one (1) arbitrator, and these two arbitrators shall jointly appoint a third arbitrator, who shall chair the arbitration panel. If the arbitrators named by the Parties do not succeed in appointing a third arbitrator within thirty (30) days after the latter of the two (2) arbitrators named by the Parties has been appointed, the third arbitrator shall, at the request of either Party, be appointed by [name an appropriate international appointing authority, e.g., the Secretary General of the Permanent Court of Arbitration, The Hague; the Secretary General of the International Centre for Settlement of Investment Disputes, Washington, D.C.; the International Chamber of Commerce, Paris; etc.].
- (c) If, in a dispute subject to paragraph (b) above, one Party fails to appoint its arbitrator within thirty (30) days after the other Party has appointed its arbitrator, the Party which has named an arbitrator may apply to the [name the same appointing authority as in said paragraph (b)] to appoint a sole arbitrator for the matter in dispute, and the arbitrator appointed pursuant to such application shall be the sole arbitrator for that dispute.
- Rules of Procedure. Except as otherwise stated herein, arbitration proceedings shall be conducted in accordance with the rules of procedure for arbitration of the United Nations Commission on International Trade Law (UNCITRAL) as in force on the date of this Contract.
- 3. <u>Substitute Arbitrators</u>. If for any reason an arbitrator is unable to perform his/her function, a substitute shall be appointed in the same manner as the original arbitrator.
- Nationality and Qualifications of Arbitrators. The sole arbitrator or the third arbitrator appointed pursuant to paragraphs 1(a) through 1(c) above shall be an internationally recognized legal or technical



expert with extensive experience in relation to the matter in dispute and shall not be a national of the Consultant's home country [*Note*: If the Consultant consists of more than one entity, add: or of the home country of any of their members or Parties] or of the Government's country. For the purposes of this Clause, "home country" means any of:

- (a) the country of incorporation of the Consultant [*Note:* If the Consultant consists of more than one entity, add: or of any of their members or Parties]; or
- (b) the country in which the Consultant's [or any of their members' or Parties'] principal place of business is located; or
- (c) the country of nationality of a majority of the Consultant's [or of any members' or Parties'] shareholders; or
- (d) the country of nationality of the Sub-consultants concerned, where the dispute involves a subcontract.
- 5. <u>Miscellaneous</u>. In any arbitration proceeding hereunder:
  - (a) proceedings shall, unless otherwise agreed by the Parties, be held in [select a country which is neither the Client's country nor the Consultant's country];
  - (b) the [type of language] language shall be the official language for all purposes; and
  - (c) the decision of the sole arbitrator or of a majority of the arbitrators (or of the third arbitrator if there is no such majority) shall be final and binding and shall be enforceable in any court of competent jurisdiction, and the Parties hereby waive any objections to or claims of immunity in respect of such enforcement.
- (b) Contracts with domestic consultants:

Arbitration shall be conducted in accordance with Nepal Arbitration Act